

# TOGAF™ and The Open Group Architecture Forum



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***Strategic Planning  
Enterprise Architecture  
Training and Certification***



# Agenda

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- ❑ The Open Group
- ❑ The Architecture Forum
- ❑ TOGAF
  - Background
  - TOGAF 8 “Enterprise Edition”
  - A look ahead to the next revision of TOGAF
- ❑ Summary

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# The Open Group

# About The Open Group

Global Operation  
Cross-Industry  
Vendor Neutral  
Technology Neutral

San Francisco,  
Boston, UK, Tokyo  
Regional chapters  
50+ staff

Brings the key  
constituencies together  
in an open process

Industry Consortium  
Not-for-profit operations  
Established >20 years  
~250 member organizations

Operates the industry's  
premier  
certification service

# Mission and Strategy

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- Mission:
  - Drive the creation of **Boundaryless Information Flow**.
- Strategy:
  - Work with **customers** to capture, understand and address current and emerging requirements, establish policies and share best practices.
  - Work with **suppliers**, **consortia** and **standards bodies** to develop consensus and facilitate interoperability, to evolve and integrate open specifications and open source technologies;
  - Develop and operate the IT industry's premier **certification service** and encourage procurement of certified products.

# Activities

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- Certification Services
  - For specifications of The Open Group and other consortia
- Conferences
  - Quarterly member meetings
  - Architecture Practitioners Conferences
- Member Forums and Technical Working Groups
  - Adaptive Business Solutions, **Architecture**,
  - Business Architecture, Management
  - Grid Enterprise Services, Identity,
  - Jericho Forum (de-perimeterization)
  - Platform,
  - Real Time & Embedded,
  - Security, UDEF, SOA,
  - Semantic Interoperability



# Forums/Work Areas of The Open Group

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- ❑ Meeting points for Suppliers and Buyers
- ❑ Each Forum is effectively an autonomous consortium operating within The Open Group
  - Direction determined by members
  - Outputs approved by members
  - Must obey some rules to respect anti-trust legislation
- ❑ Forums initiate new areas of work, often in partnership with other Forums
  - ...leading to industry standards
  - ...leading to certification programs based on those standards

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# The Architecture Forum

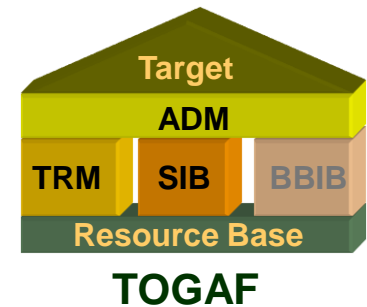


# Architecture Forum - Focus

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- ❑ Original (and continuing) focus:

- TOGAF



- ❑ Extended focus:

- Architecture as a Professional discipline
- Architecture Tools



# Stakeholders and Value

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- **Customer Architects: Reduced time, cost, risk**
  - procuring effective IT architecture tools
  - developing an IT architecture
  - procuring products to implement an IT architecture
- **Tools Vendors: Bigger market, bigger market share**
  - supporting open methods for architecture
- **IT Solution Vendors: Greater cost-efficiency**
  - reduced cost of bidding, greater share of procurements
- **Integrators: Greater cost-efficiency, better service**
  - better service delivery to clients
  - more effective use / re-use of own architecture assets
- **Academic / Research Organizations: Funding support**
  - demonstrated relevance to market, route to standardization
  - “technology transfer” important in bids for funding

206 current members 52 new 8 terminations

# Membership List – Sept 30th, 2008

Aalders Analysis & Design Pty Ltd  
ABIO bv  
Accenture  
**ACORD Corporation**  
act! Consulting  
ADP, Inc.  
AIPLEX Pty Ltd  
alfabet AG  
Allied Irish Bank  
American Express  
**Analytix Holdings**  
**APL Limited**  
Applied Technology Solutions  
Architecting-the Enterprise  
Arismor  
Arm Scor  
Armstrong Process Group, Inc.  
Austin Energy  
Bank of Montreal Financial Group  
BEA Systems Inc.  
Bealigned CVBA (Belgium)  
BearingPoint, Inc.  
Bizzdesign Holding BV  
**Bodo University College**  
Boehringer Ingelheim

Boeing Corporation (US)  
BP International  
British Telecom  
**Build the Vision**  
Business Connexion  
C and C Technology (UK)  
CA, Inc.  
Capgemini Limited  
Capita IT Services (UK)  
**Cardiff University**  
Casewise, Inc.  
CC and C Solutions (Australia)  
**CEISAR**  
Celestial Computing Services (UK)  
Centre For Open Systems (Australia)  
CGI  
Cisco Systems, Inc.  
CLARS  
Companhia Vale do Rio Doce  
Data Access Technologies  
**Datamine**  
**Deccan Global Solutions LLC**  
Dept for Works & Pensions (UK)  
Desktop Management Task Force  
Detecon International

Devoteam Consulting  
**Deloitte Consulting, LLP**  
**Discover Financial Services LLC**  
**Digterra (Pty) Ltd**  
**EA Global Ltd**  
EDS  
Elegant Group  
Eli Lilly (UK)  
Elparazim  
**Enbridge, Inc**  
Energetics  
Enterprise Architects Ltd  
Equinox Limited  
Eskom Holdings  
Fannie Mae  
Flashmap Systems, Inc.  
**FEAC Institute**  
Focus On The Family  
**France Telecom**  
**Fujitsu (Japan)**  
**Fundani Computer Systems**  
Future Tech Systems  
Getronics  
Grant MacEwan College

206 current members 52 new 8 terminations

# Membership List – Sept 30th, 2008

Griffiths Waite

**GTECH Corporation**

Heck Consulting

Hewlett-Packard (US)

HighMark

Hi-Q Systems Ltd

**Hornford Associates**

Hotel Technology Next Generation

HSBC Bank Plc

IBM

ICMG PRIVATE LTD

**IDS Scheer AG**

Infosys (India)

Infovide (Poland)

Innenministerium NordRhein-Westfalen

INSPIRED

**Intel Corporation**

Intercall, Inc

Integration Consortium

Investec

**ISES International B.V**

IT Advisor, Inc

**IT Frontier Corporation**

**Iwate Prefectural University**

**Joint Information Systems Committee**

**Kamehameha Schools**

Johnson and Johnson

**Kings College London**

**Knotion Consulting**

Kynetia Networks S.L.

**La Poste**

Lawrence Technological University

Learning and Skills Council

Letsema Consulting

**Liverpool John Moores University**

Lockheed Martin (US)

**MANTIX LIMITED**

Marathon Oil (US)

Marriott International (US)

MEGA International (US)

Metaplexity Associates

MIT Lincoln Laboratory

MITRE Corporation (US)

**Mitsubishi Corporation**

Mizuho Information & Research

**Molimax**

N2 Services Inc.

**NASA Jet Propulsion Labs (US)**

NASA Scientific & Engineering Workstation

- Procurement (SEWP)

National Computerization Agency (Korea)

National E-health Transition Authority

- NEHTA (Australia)

National IT and Telecom Agency

National University of Singapore

NEC Corporation

Nissan Motor Co., Ltd

Nomura Research Institute

Norwegian University of Science & Technology

**NTT Data Corporation**

NYS Office Of Temporary & Disability Assistance

Object Management Group

Open GIS Consortium, Inc (US)

**Orbus Software**

Oslo Software (France)

**Parity Training**

Penn State University/Applied Research Lab

**plenum Management Consulting GMBH**

PricewaterhouseCoopers LLC

**Principal Financial Group**

Procter & Gamble Company

Proforma Corporation

206 current members 52 new 8 terminations

# Membership List – Sept 30th, 2008

Qualiware (Denmark)  
Quick Response Systems  
Raytheon (US)  
Real IRM Solutions  
ReGIS (Japan)  
Resilience Corporation

## **Resultex Limited**

Rococo Company (Japan)

## **Roehampton University**

Rolls Royce

Royal Institute of Technology, Stockholm

## **Royal Phillips Technology**

SAP

SASOL (South Africa)

Satyam Computer Services

SCC

## **SDT**

## **Schools Interoperability Framework**

Serono International SA

Shift Technologies

## **Shenzhen Kingdee Middleware Co.Ltd**

SIOS Technology, Inc

Smart 421

## **SNA Technologies Inc**

Solvera Solutions

## **Sparx Systems**

State Services Commission

## **State Information Technology Agency**

SUN Microsystems

Swiss Federal Department of Finance

## **Symantec Corp**

Systems Flow, Inc.

## **T2b**

## **Tata Consultancy Services**

Teamcall

Telelogic/Popkin Software (US/UK)

Telemanagement Forum (US)

Telkom S.A

Tenfold, Inc

## **Telephone and Data Systems**

The Salamander Organisation

## **Tieturi OY**

Tonex

## **Total System Service Inc**

Toyota Info Technology Centre (Japan)

Treasury Board of Canada Secretariat

## **TriVector**

Troux (US)

Tshwayne University of Technology Unisys (US)

UK MOD

Unisys

University Kyoto (Japan)

University of Cambridge

University of Colorado

University of Denver

University of Johannesburg

University of Pretoria

University of Reading (UK)

## **University of South Africa**

University of Technology, Sydney (Australia)

Veriserve Corporation (US)

Wachovia Bank

White Knight Management (UK)

WiPro (India)

## **Xantus Consulting**

206 current members 52 new

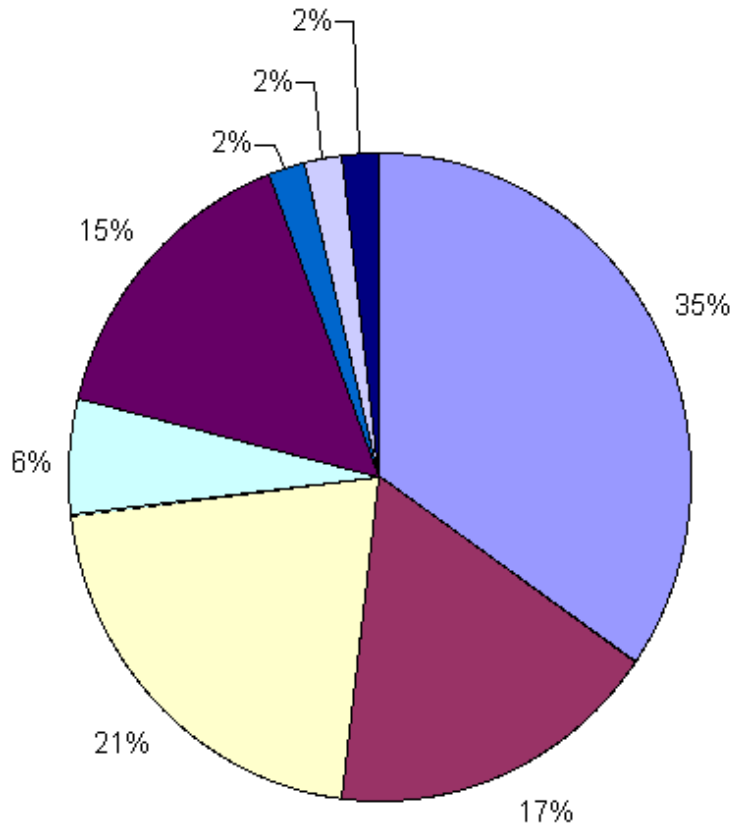
# New Members 2008

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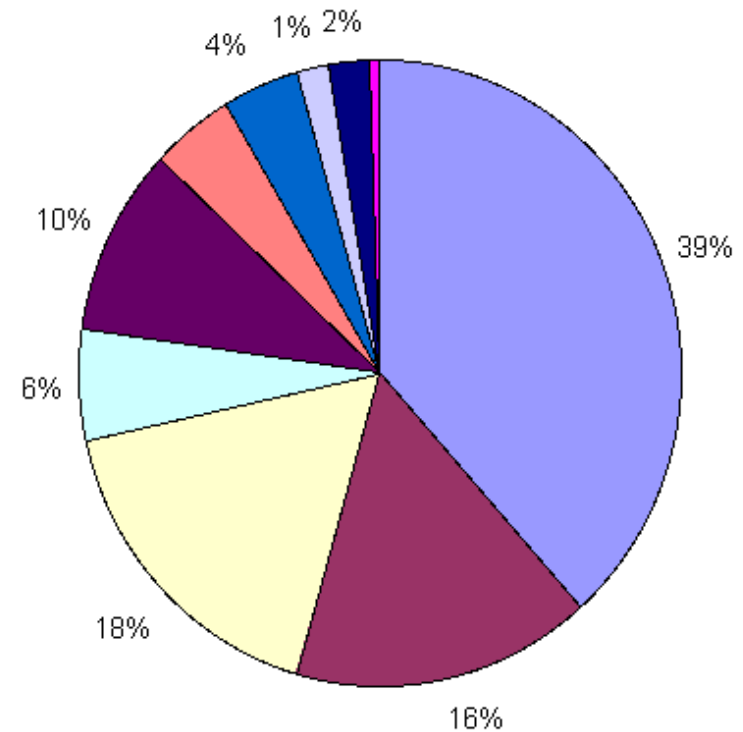
ACORD Corporation	ISES International B.V	Roehampton University
Analytix Holdings	IT Frontier Corporation	Schools Interoperability Framework
Bodo Univeristy College	Iwate Prefectural University	SDT
Build the Vision	Joint Information Systems Committee	Shenzhen Kingdee Middleware Co.Ltd
Cardiff University	Kamehameha Schools	Sogeti S.A.S
CEISAR	Kings College London	Sparx Systems
Cognizant Technology Solutions	Knotion Consulting	State Information Technology Agency (PTY) Ltd
Datamine	La Poste	Symantec Corp
Deccan Global Solutions LLC	Liverpool John Moores University	T2b
Deloitte Consulting LLC	Mantix Ltd	Tata Consultancy Services
Digterra (Pty) Ltd	Molimax	Telephone and Data Systems
Discover Financial Services LLC	NTT Data Corporation	Tieturi OY
EA Global Ltd	ORBUS Software	Total System Service Inc
FEAC Institute	Parity Training	triVector
France Telecom	plenum Management consulting GmbH	Univeristy of South Africa
IDS Scheer AG	Principal Financial Group	Xantus Consulting
Intel Corporation	Pyrrhus Software	
Intercall, Inc.	Resultex Limited	

# Forum Members – By Geography

New Members 2008

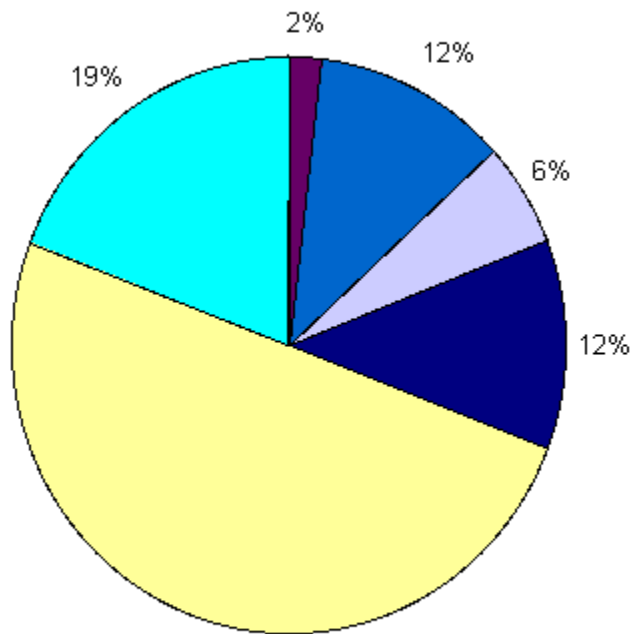


All Members

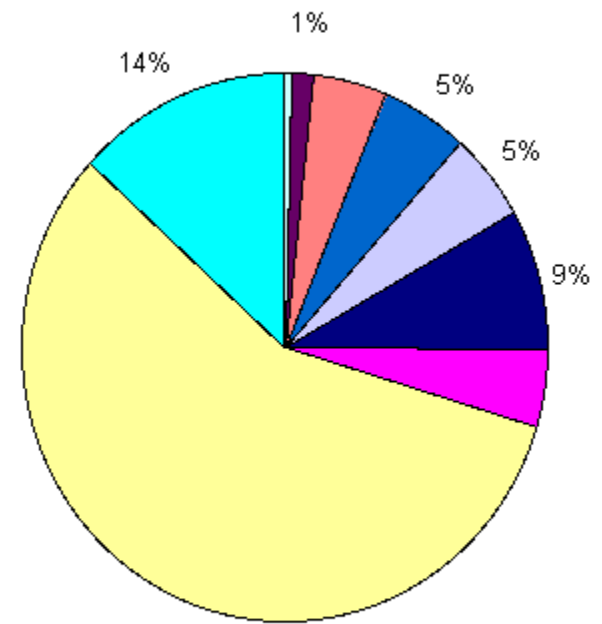


# Forum Members – By Vertical

Members 2008



All Members





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# TOGAF 8 “Enterprise Edition”

# TOGAF Origins

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- ❑ A customer initiative
- ❑ A framework, not an architecture
  - A generic framework for developing architectures to meet different business needs
  - Not a “one-size-fits-all” architecture
- ❑ Originally based on TAFIM (U.S. DoD)

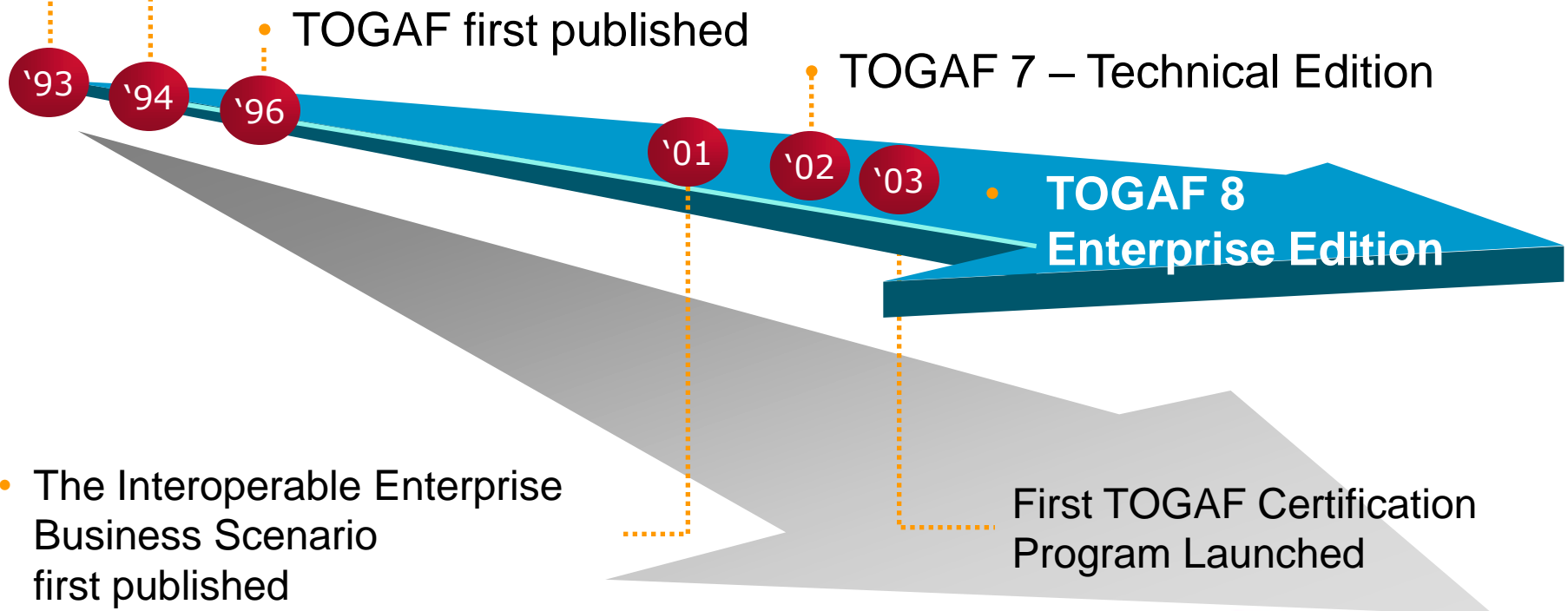
# Technical Architecture for Information Management

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- ❑ TAFIM
  - ❑ US Department of Defense
  - ❑ Rich repository of lessons learned
  - ❑ Focus on IM enabled by IT
    - ❑ Information needed by clients
- ❑ Defence has ongoing need for interoperability
  - ❑ Crisis Response
  - ❑ Whole range of military operations

# Member (End User) Driven

- Customer members demand architecture standards ...
  - Customer members select TAFIM as preferred starting point...
  - DoD Information Systems Agency (DISA) donate TAFIM as base



# TOGAF 8 Scope

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- TOGAF 8 covers the development of four related types of architecture:

- Business architecture
- Data or information architecture
- Application architecture
- Technology architecture

**TOGAF 8**  
**“Enterprise Edition”**

**TOGAF 7 “Technical Edition”**

# TOGAF 8 Goals

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## □ Long-term:

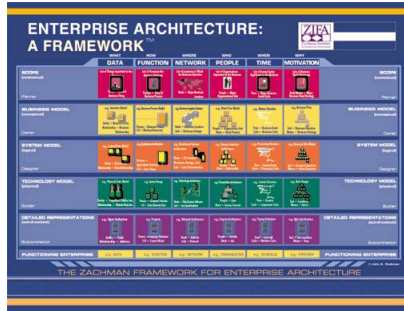
- An industry standard, generic enterprise architecture method....
- ....usable in conjunction with frameworks having products relevant / specific to particular sectors.
  - Several frameworks have mindshare:
    - Zachman, Spewak, DoD Framework, FEAF, TEAF, ...
  - Almost all focus on products, not method
  - TOGAF and.... (not TOGAF or....)

## □ Version 8:

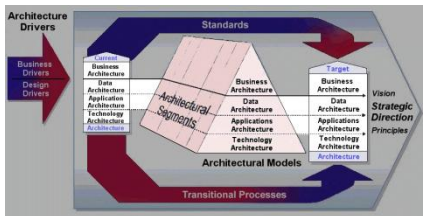
- An overall structure and core method for enterprise architecture that can be filled out in future years.

# Supporting industry integration

**TOGAF**

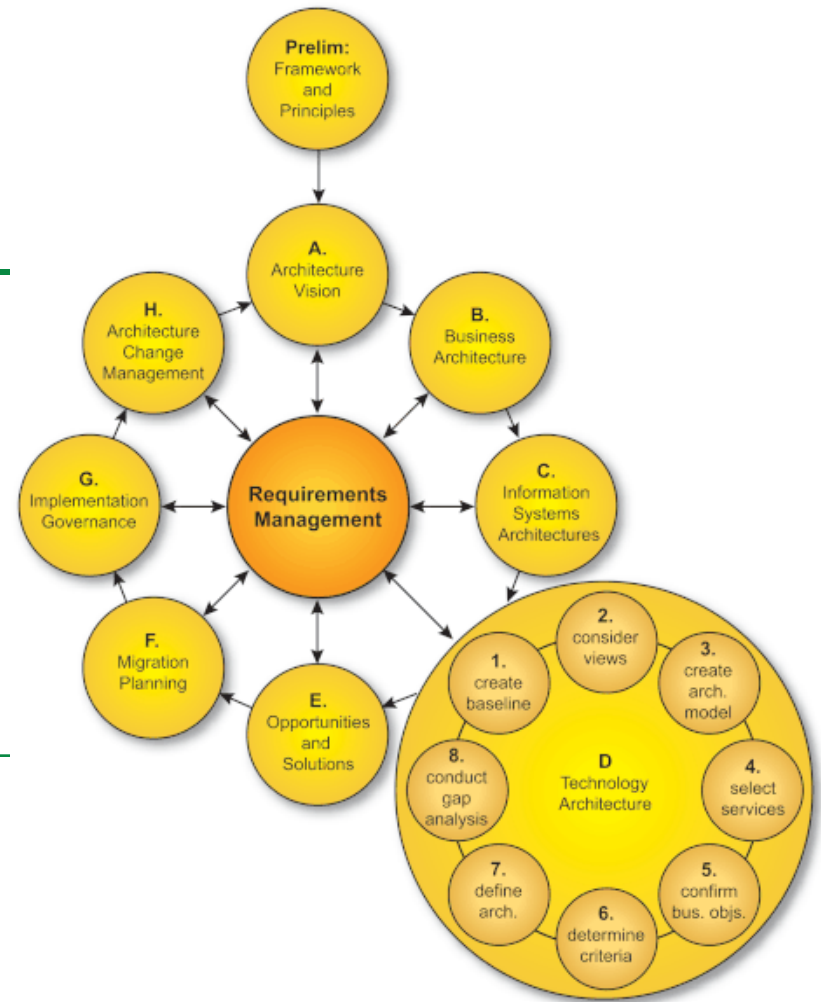


**Zachman Framework**



**Federal Enterprise Architecture Framework**

**Support or Guidance**

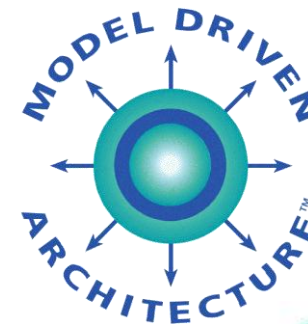
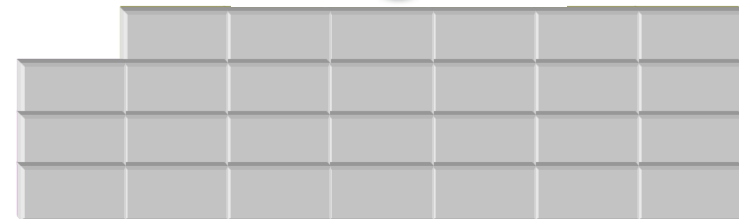
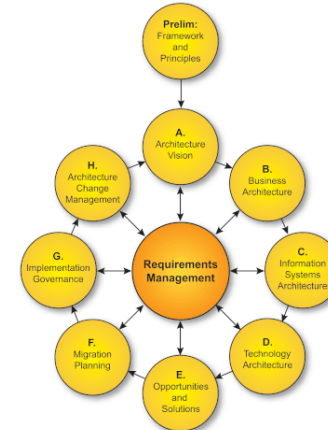


**TOGAF ADM**  
Architecture Development Method

**Other Frameworks**

# TOGAF/ MDA Alignment

- ❑ TOGAF ADM
  - Enterprise Architecture Development Method
  
- ❑ TOGAF or any other framework
  
- ❑ The OMG Model Driven Architecture
  - A Software Architecture and Development Approach



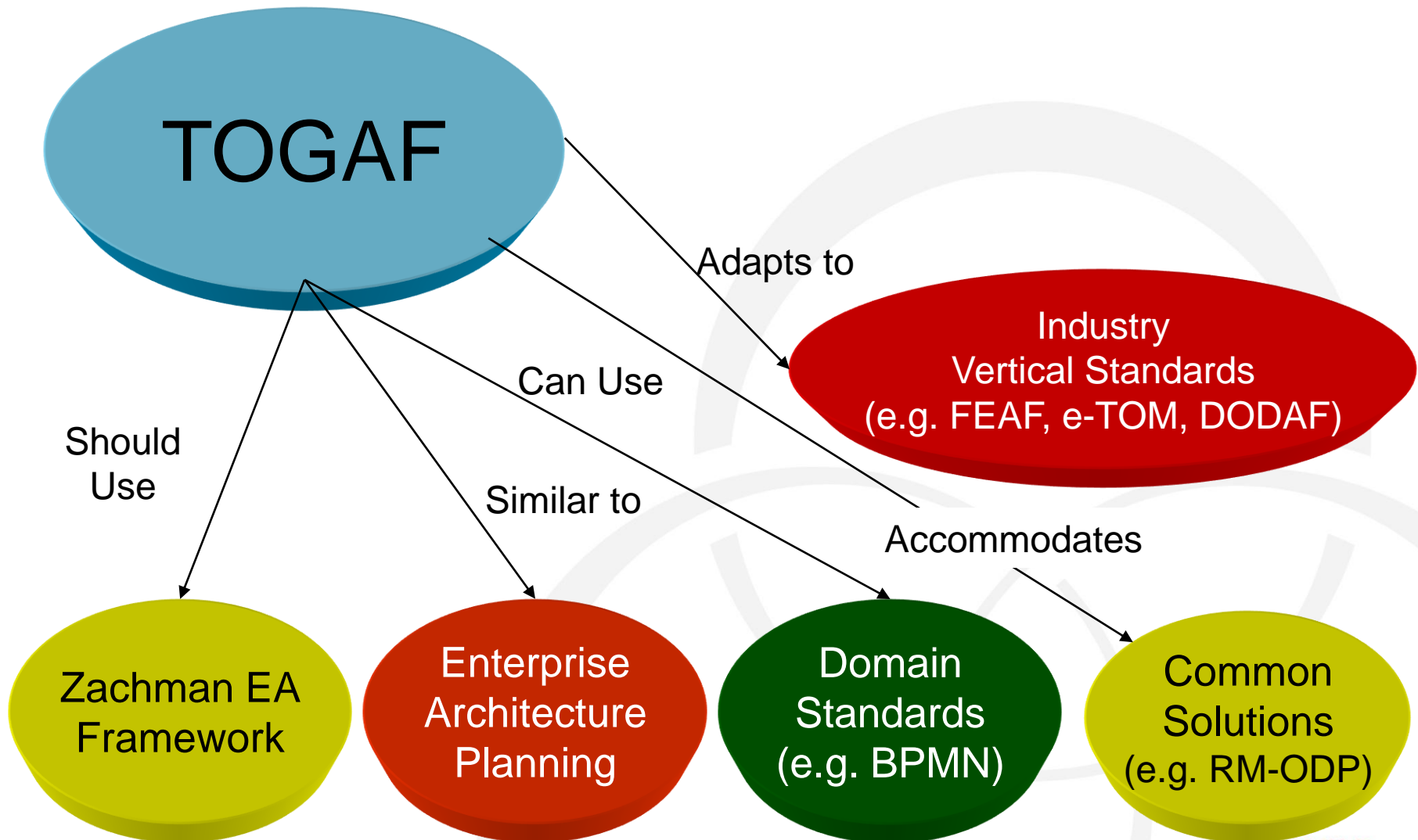


# TOGAF and Other Frameworks / Bodies of Knowledge

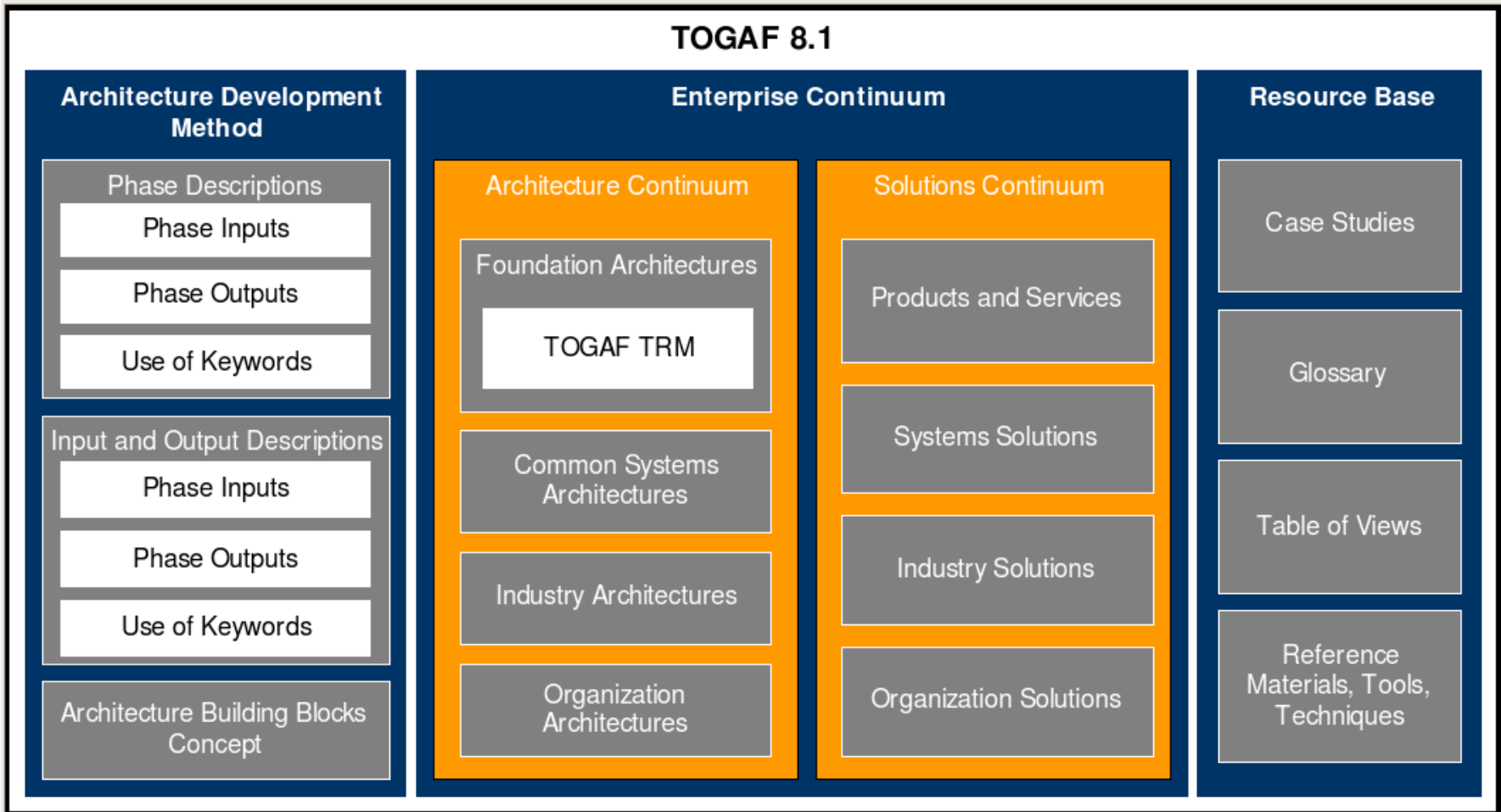
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- ❑ TOGAF8 already contains mapping to Zachman Framework
- ❑ Recent White papers:
  - <http://www.opengroup.org/architecture/wp/>
  - Mapping TOGAF to OMG's MDA modeling standards
  - Mapping TOGAF8 and DODAF
  - Mapping TOGAF8 and COBIT4
  - Mapping TOGAF8 and ITIL touchpoints

# TOGAF and EA Frameworks



# TOGAF 8 Components



Source: SAP and Capgemini

19 October, 2008

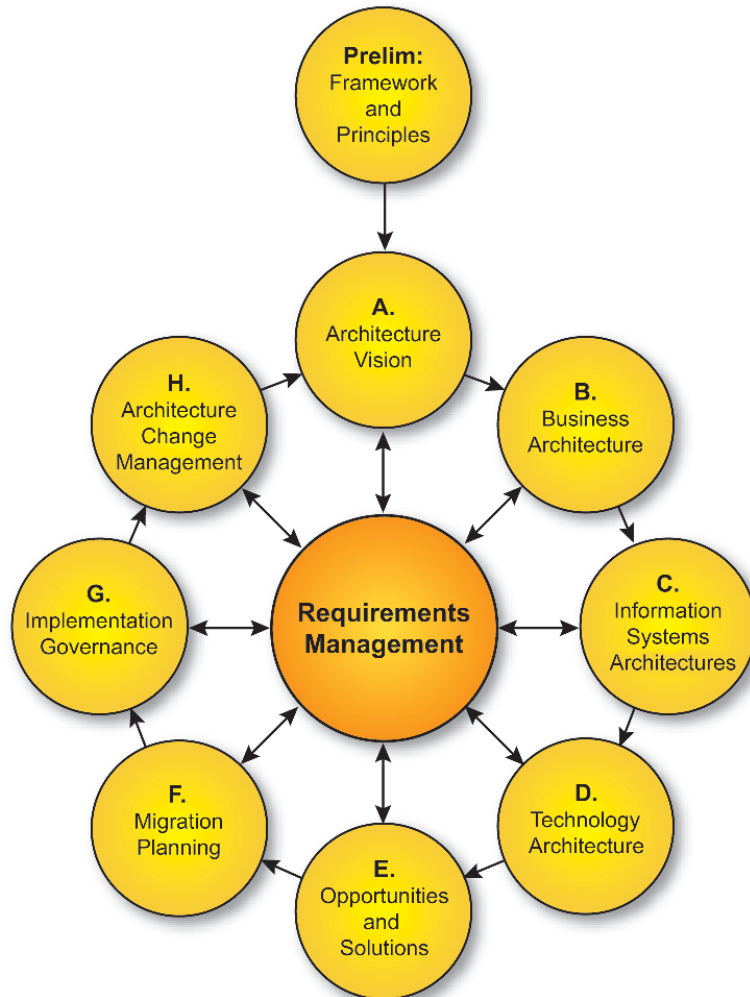
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# TOGAF 8 “Enterprise Edition” – Architecture Development Method Overview

# ADM – Basic Principles



An iterative method, over the whole process, between phases and within phases

Each iteration = new decisions:

- Enterprise coverage

- Level of detail

- Time horizon

Architecture asset re-use:

- previous ADM iterations

- other frameworks, system

- models, industry models,...

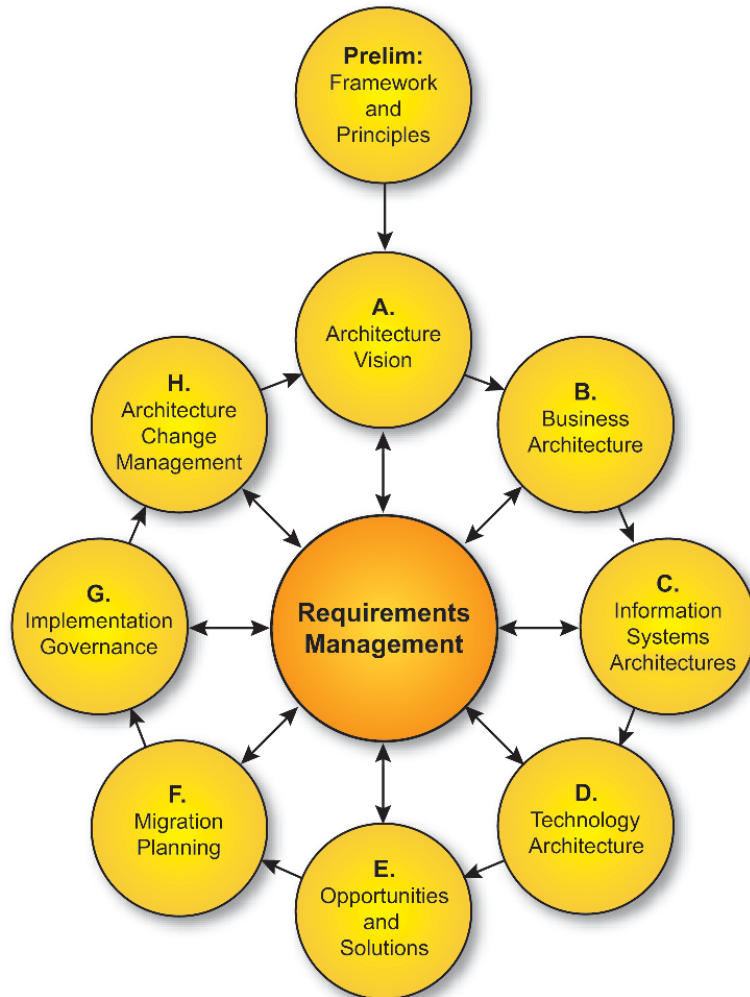
Decisions based on:

- Competence / resource availability

- Value accruing to the enterprise.

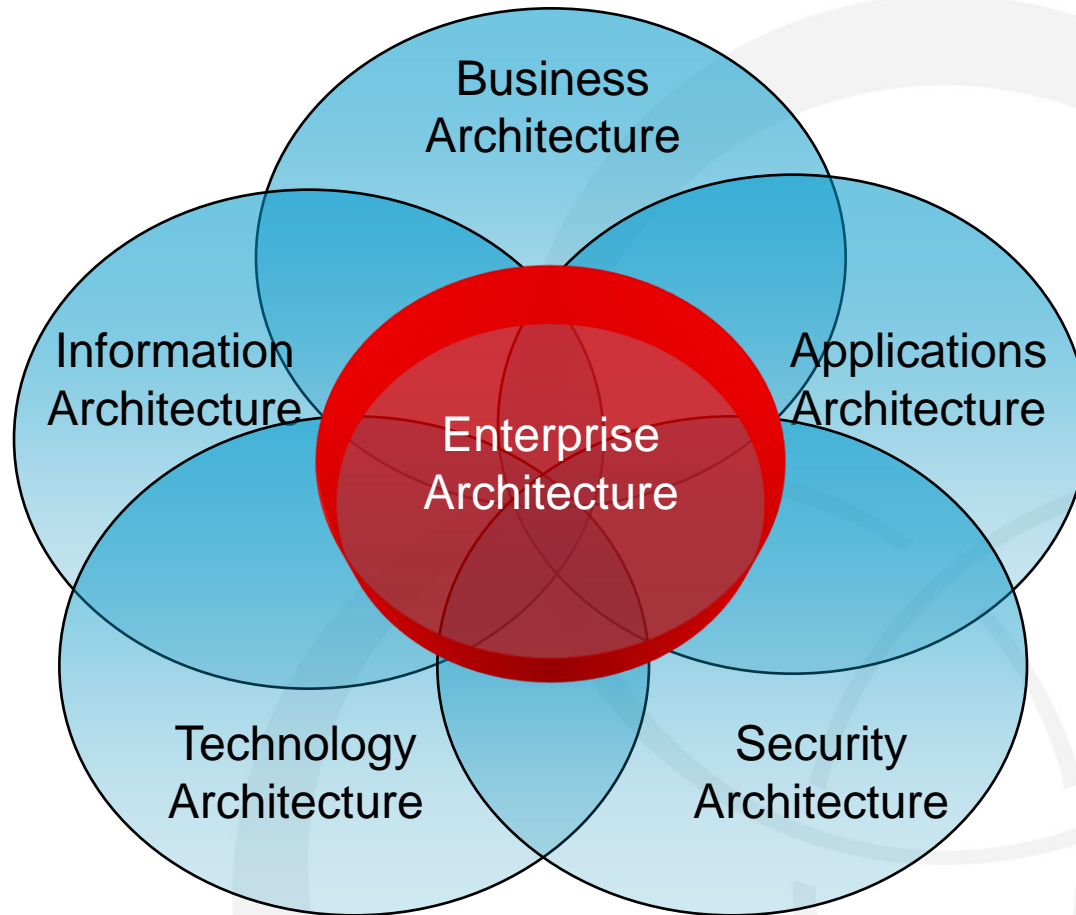
# ADM – Basic Principles

Every phase is validated against and validates the current requirements of the business

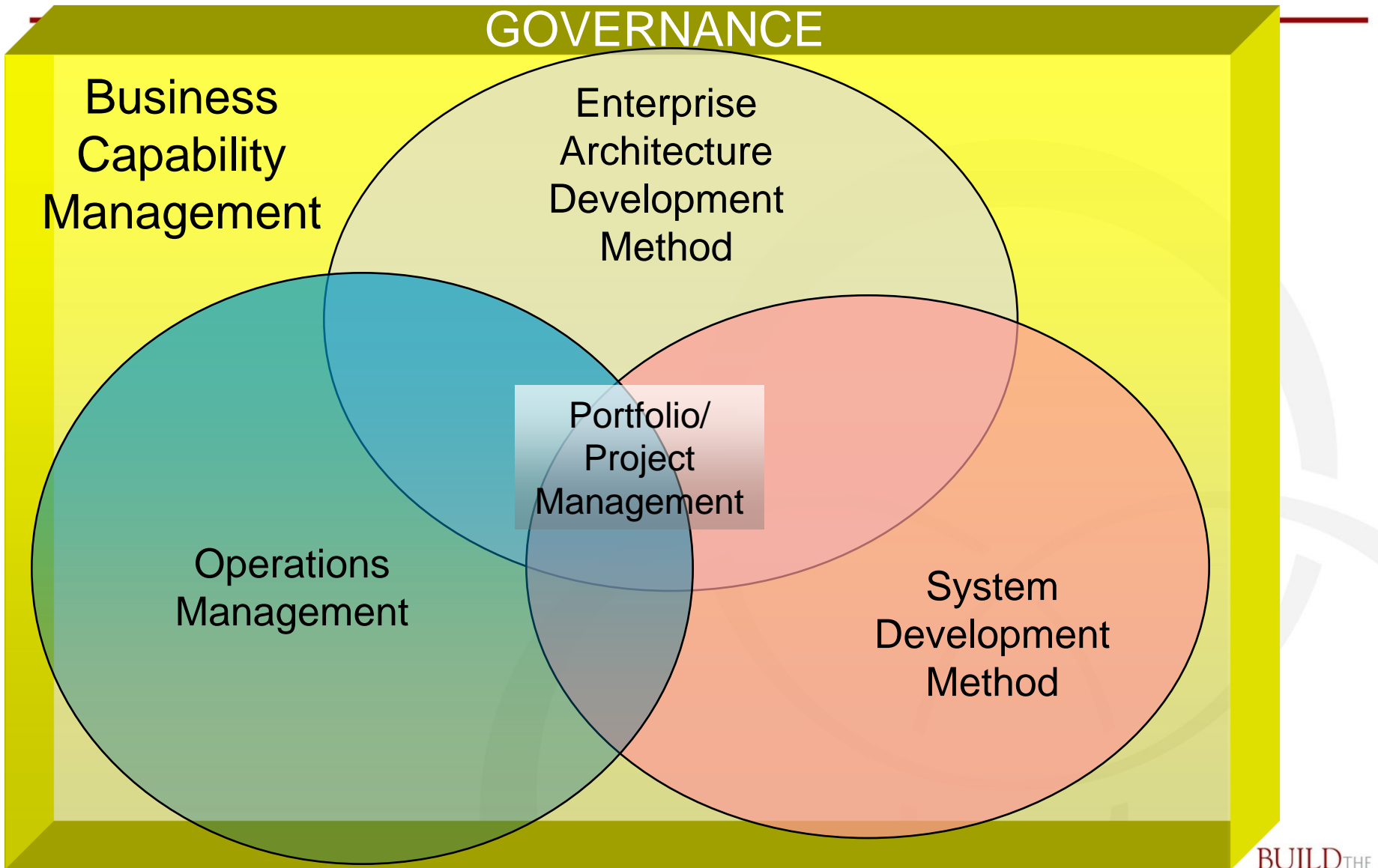


# A Way of Looking at EA

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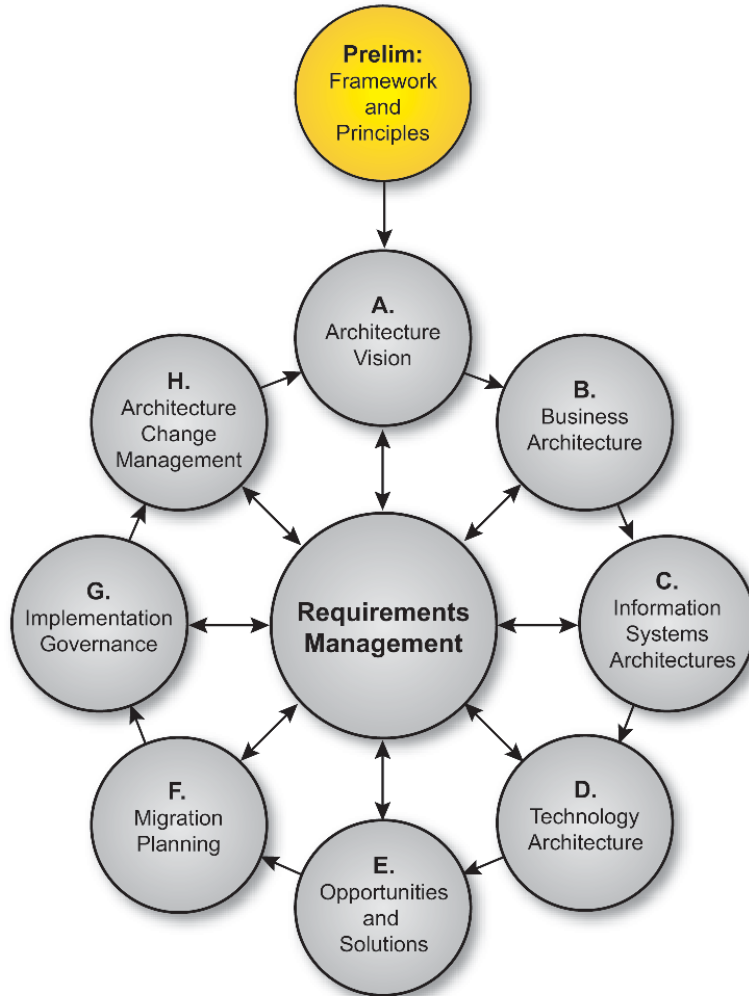


# EA in Context





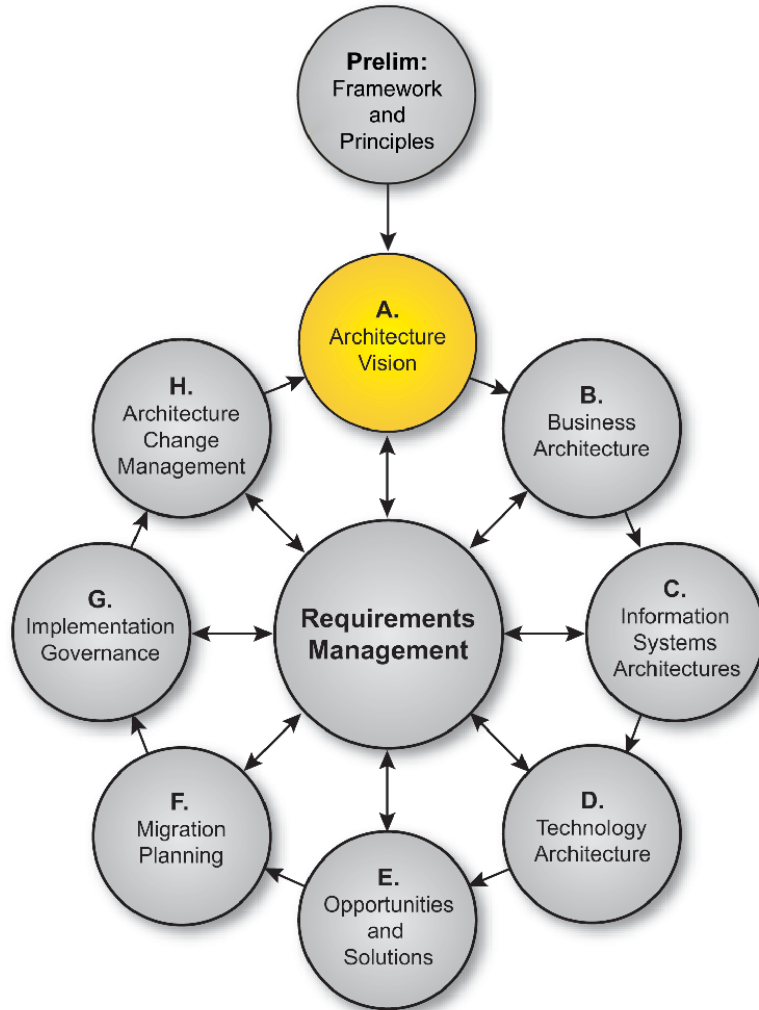
# Preliminary Phase: Frameworks & Principles



□ This phase prepares the organization for undertaking Enterprise Architecture successfully

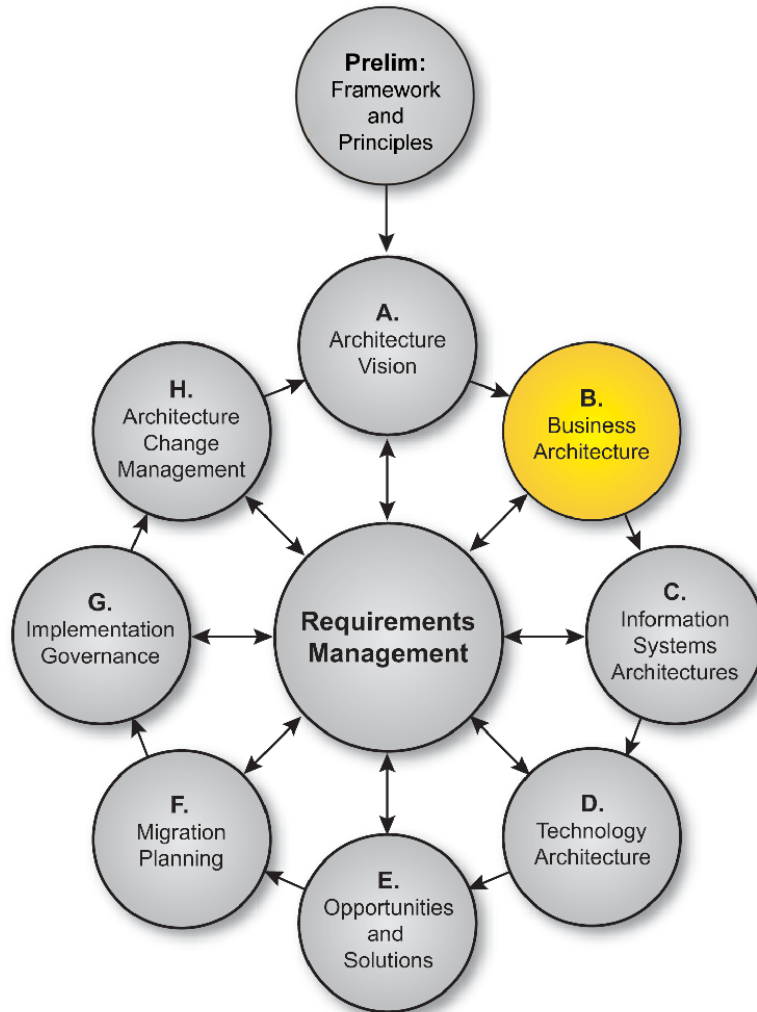
- Understand business environment
- Commitment of key stakeholders
- Agreement on scope
- Establish principles
- Establish governance structure
- Agree method to be adopted

# Phase A: Architecture Vision



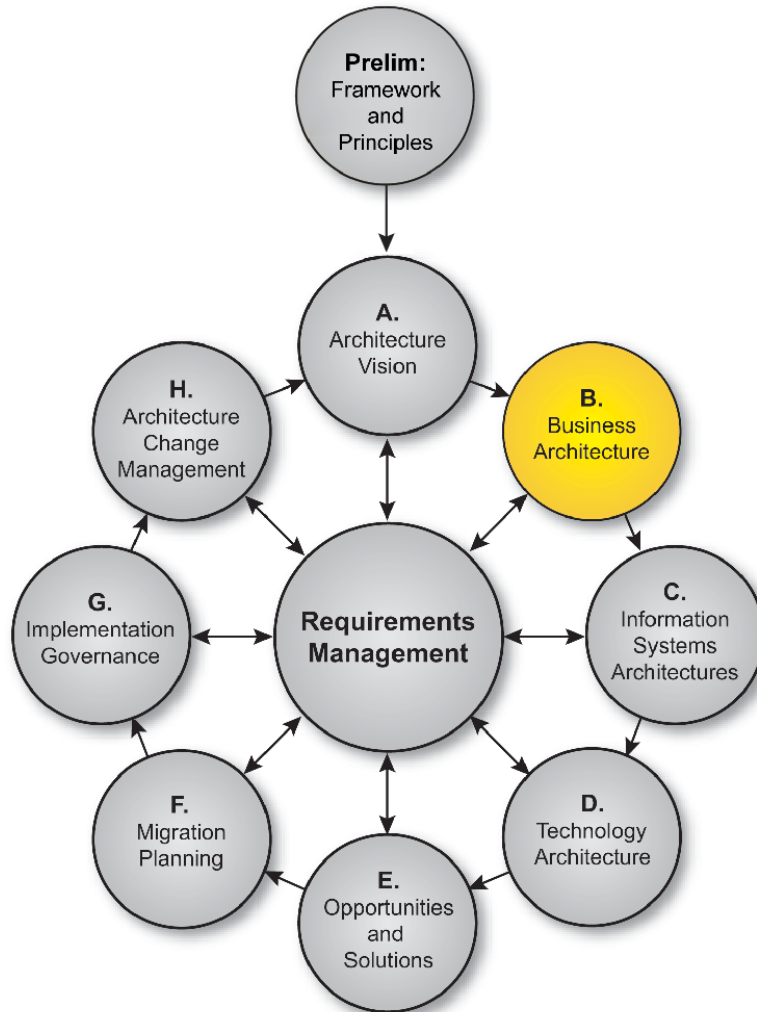
- ❑ Initiates one iteration of the architecture process
  - Sets scope, constraints, expectations
  - Required at the start of every architecture cycle
- ❑ Validates business context
- ❑ Creates Statement of Architecture work

# Phase B: Business Architecture



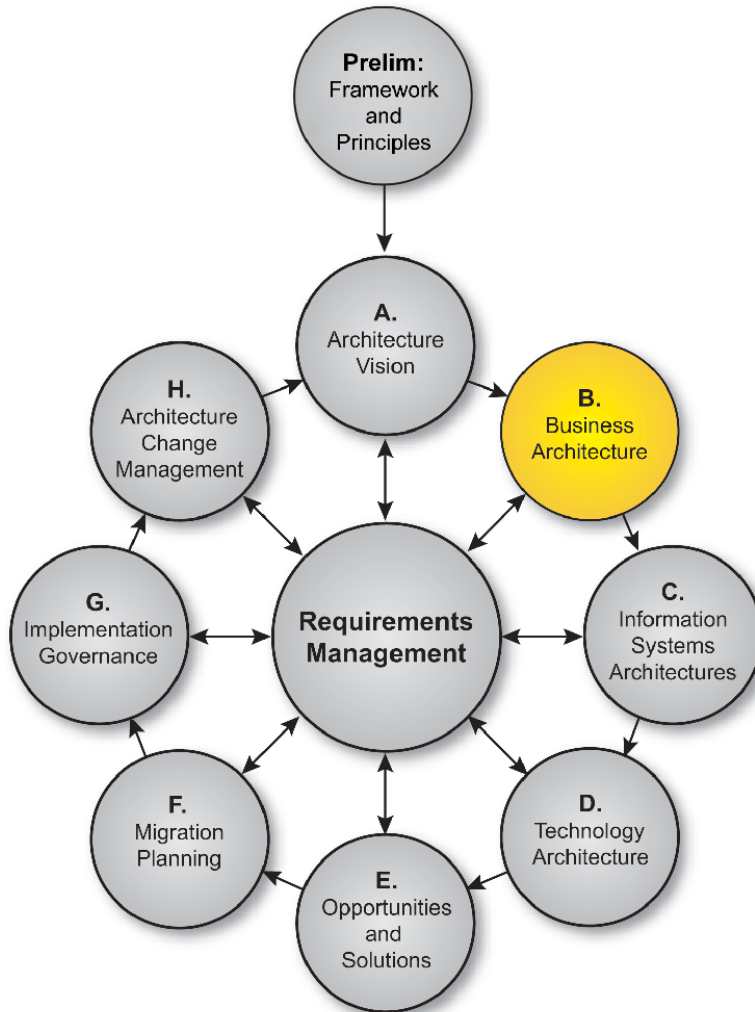
- The fundamental organization of a business, embodied in
  - its business processes and people,
  - their relationships
    - to each other and the environment,
  - and the principles governing its design and evolution
- Shows how the organization meets its business goals

# Phase B: Business Architecture - Contents



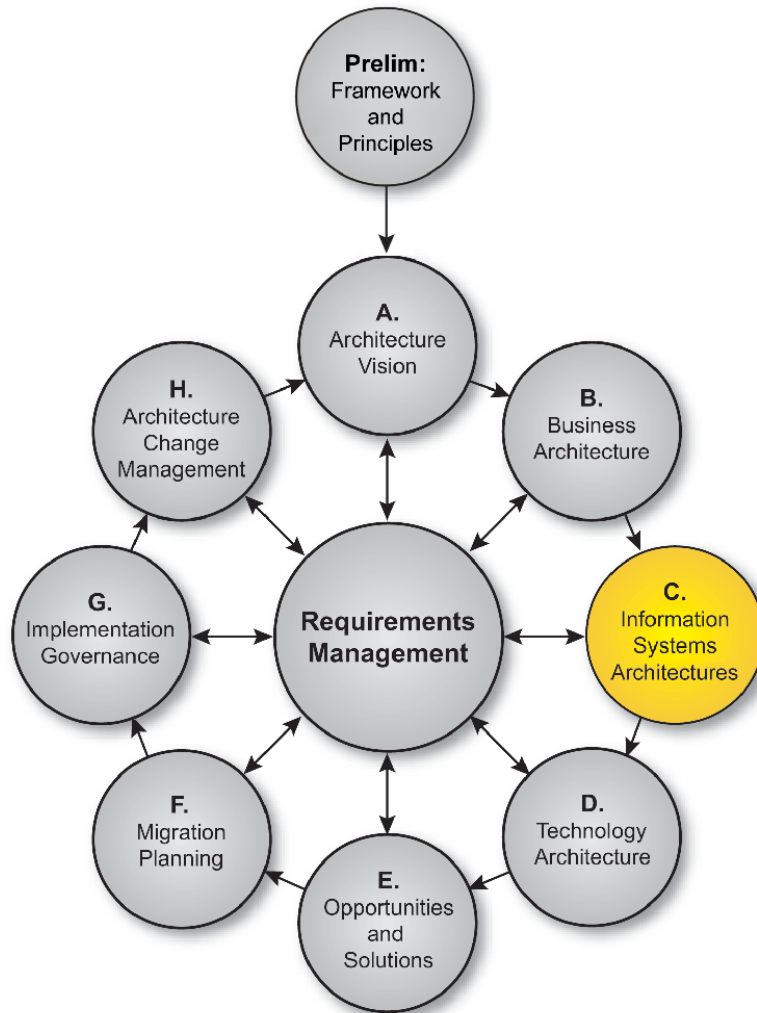
- ❑ Organization structure
- ❑ Business goals and objectives
- ❑ Business functions
- ❑ Business Services
- ❑ Business processes
- ❑ Business roles
- ❑ Correlation of organization and functions.

# Phase B: Business Architecture - Steps



- ❑ Confirm context
- ❑ Define baseline
- ❑ Define target
  - Views are important
- ❑ Validate
  - Requirements
  - Concerns
- ❑ Perform Gap analysis
- ❑ Produce report

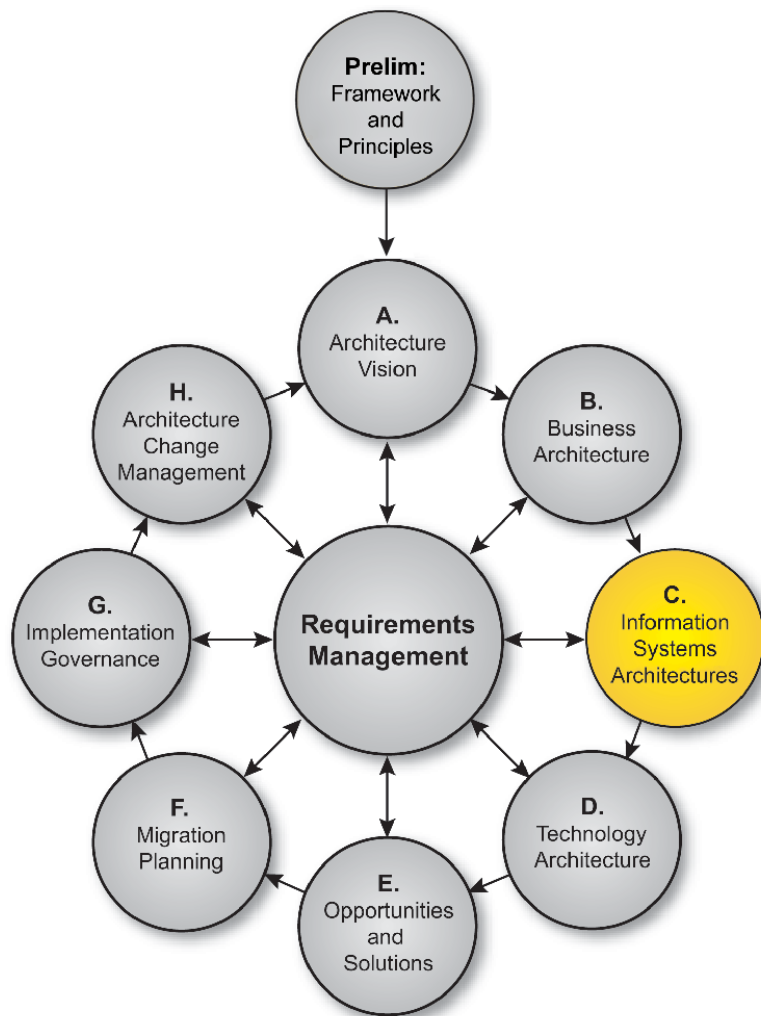
# Phase C: Information Systems Architectures



- The fundamental organization of an IT system, embodied in
  - relationships to each other and the environment, and the principles governing its design and evolution
- Shows how the IT systems meets the business goals of the enterprise

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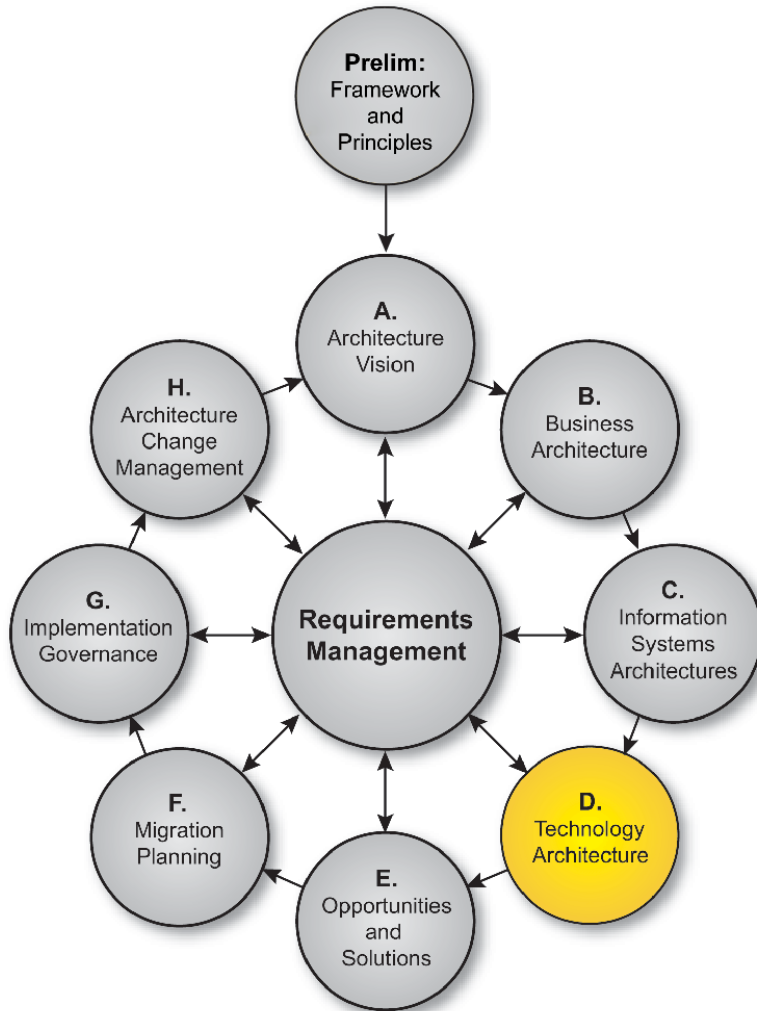
# Phase C: Data or Applications first ?



- It is usually necessary to address both
  - Not always the case, depending on project scope and constraints
- May be developed in either order, or in parallel
  - Theory suggests Data Architecture comes first
  - Practical considerations may mean that starting with Application Systems may be more efficient
- There will need to be some iteration to ensure consistency

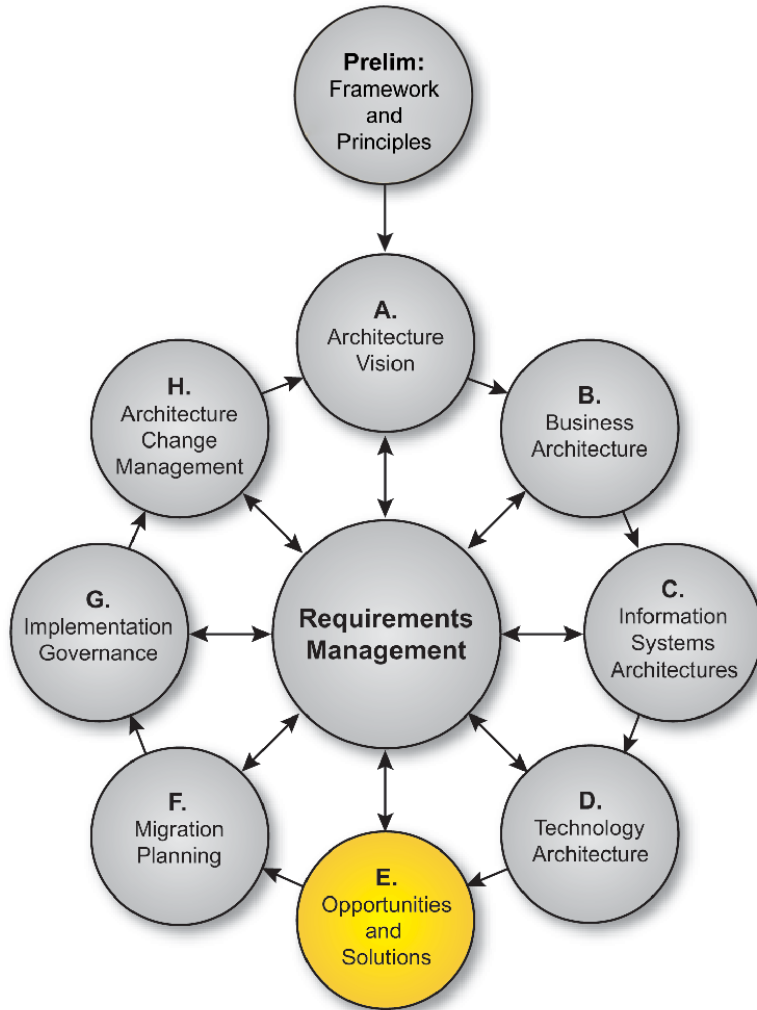
# Phase D: Technology Architecture

- The fundamental organization of an IT system, embodied in
  - its hardware, software and communications technology
  - their relationships to each other and the environment,
  - and the principles governing its design and evolution



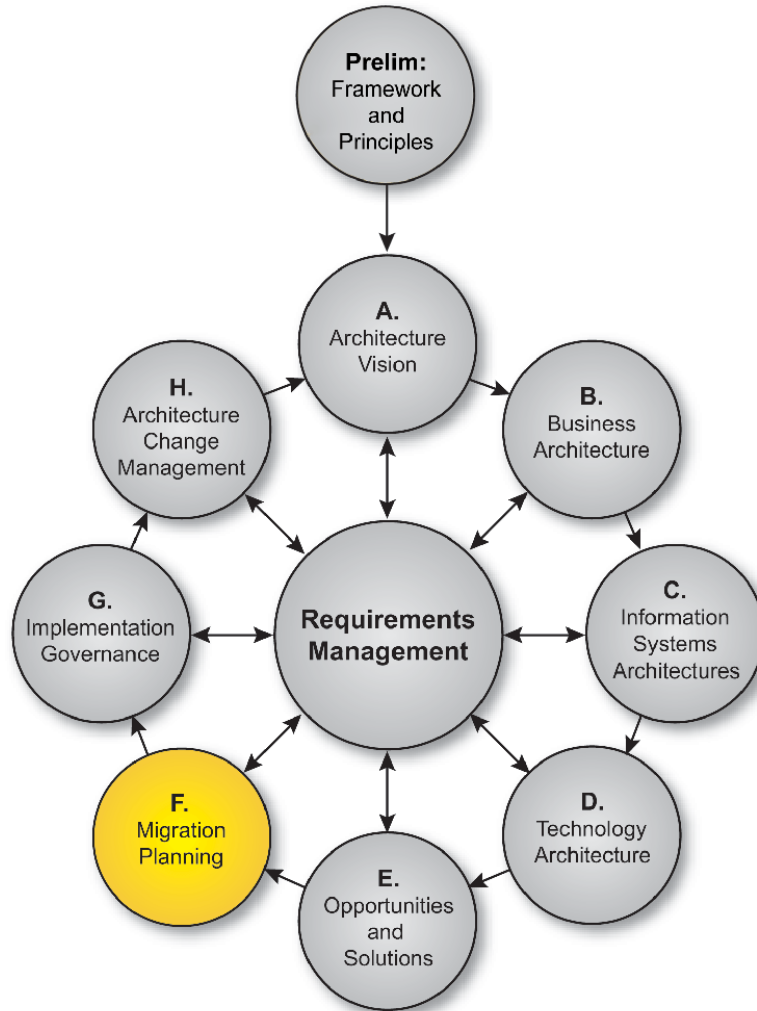


# Phase E: Opportunities and Solutions



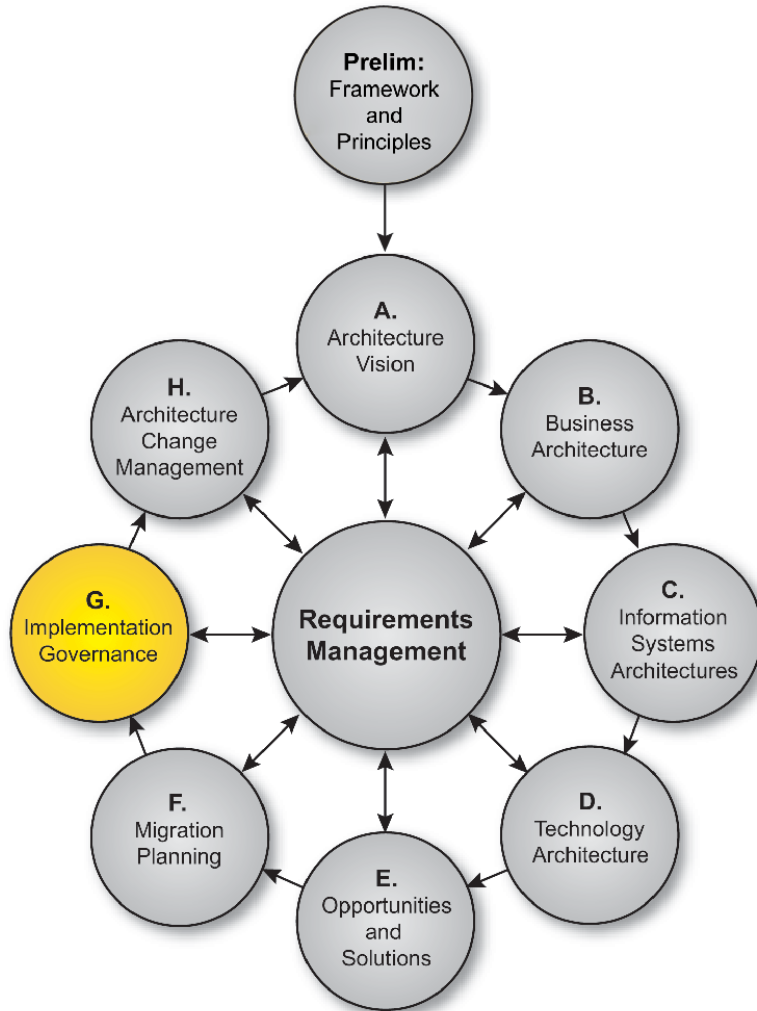
- ❑ Identify the major implementation projects
- ❑ Decide on approach
  - Make v Buy v Re-Use
  - Outsource
  - COTS
  - Open Source
- ❑ Assess priorities  
Identify dependencies

# Phase F: Migration Planning



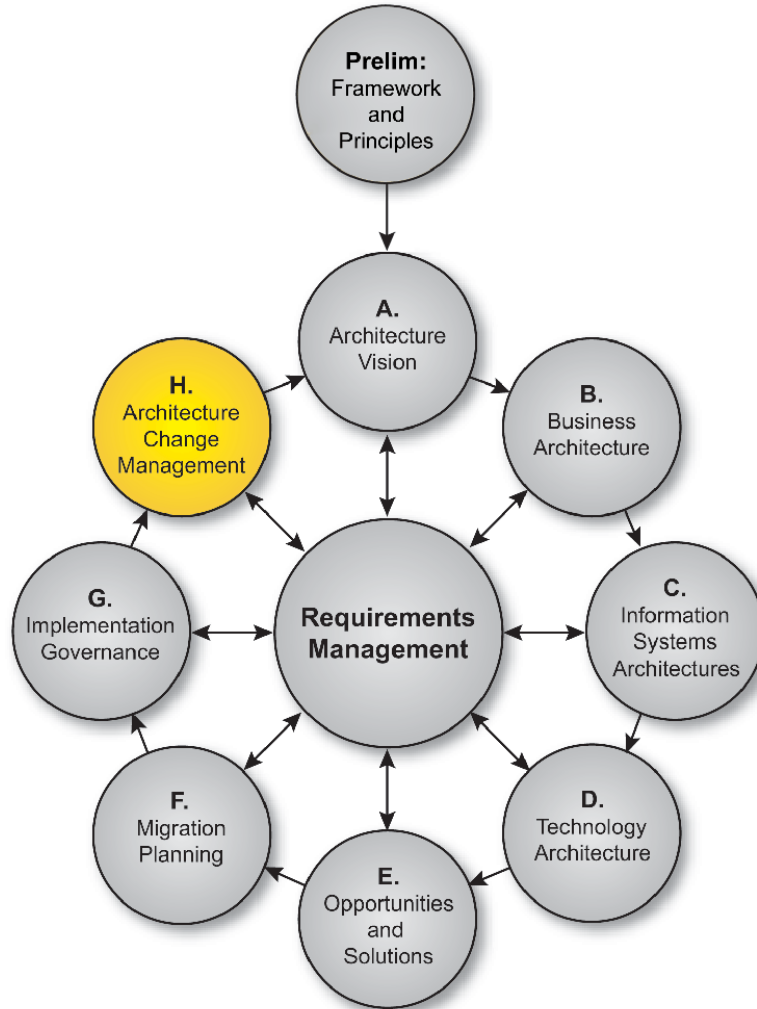
- ❑ For projects identified in Phase E perform
  - Cost/benefit analysis
  - Risk assessment
- ❑ Produce an implementation road-map

# Phase G: Implementation Governance



- ❑ Defines architecture constraints on implementation projects
- ❑ Architecture contract
- ❑ Monitors implementation work for conformance

# Phase H: Architecture Change Management

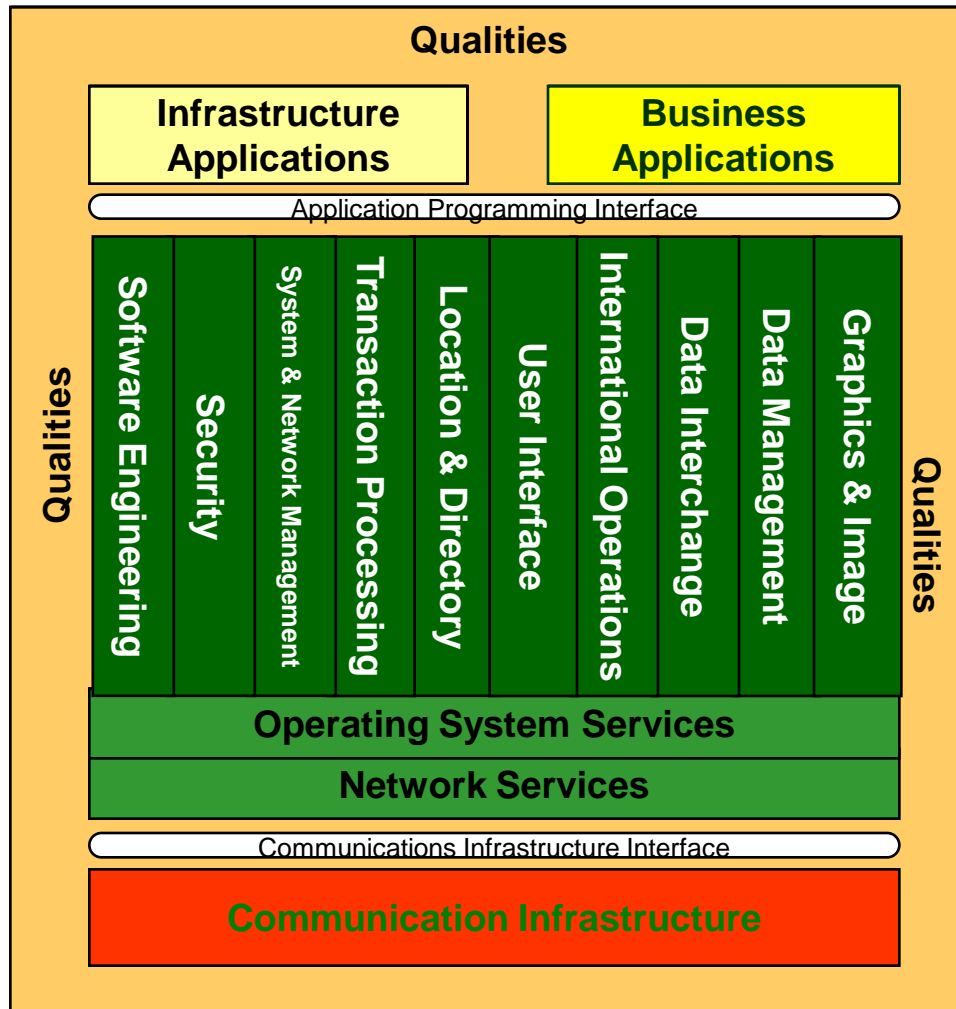


- ❑ Ensures that changes to the architecture are managed in a cohesive and architected way
- ❑ Establishes and supports the Enterprise Architecture to provide flexibility to evolve rapidly in response to changes in the technology or business environment

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# TOGAF 8 “Enterprise Edition” – Reference Models

# Foundation Architecture: TRM



- ❑ Associated with detailed taxonomy of **services**
  - defines scope of each service category
- ❑ Identifies system-wide capabilities or “**qualities**”; e.g.:
  - Internationalization
  - Security
  - Management

# Foundation Architecture: Standards Information Base (SIB)

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- ❑ A database of open industry standards
- ❑ Content determined by Open Group consensus process
- ❑ Structured according to TOGAF Technical Reference Model taxonomy
- ❑ Available for public web access
  - <http://www.opengroup.org/sib/>
- ❑ Gateway to many linked resources

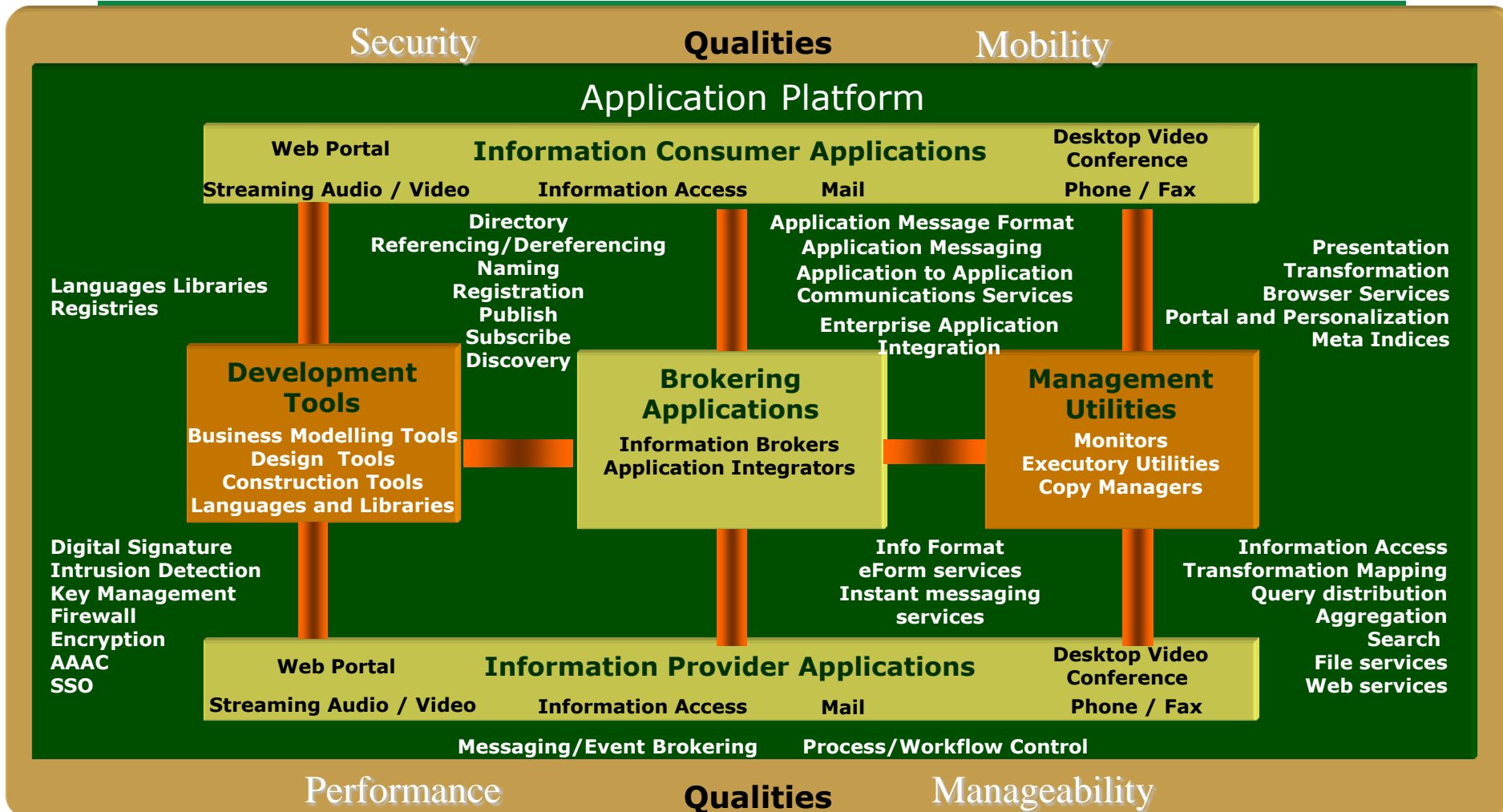
# Integrated Information Infrastructure Reference Model

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- ❑ A model of the key components for developing, managing, and operating an integrated information infrastructure.
  - Supporting “Boundaryless Information Flow”
- ❑ A model of a set of applications that sit on top of an application platform.
- ❑ An expanded subset of the TOGAF Technical Reference Model, using different orientation.

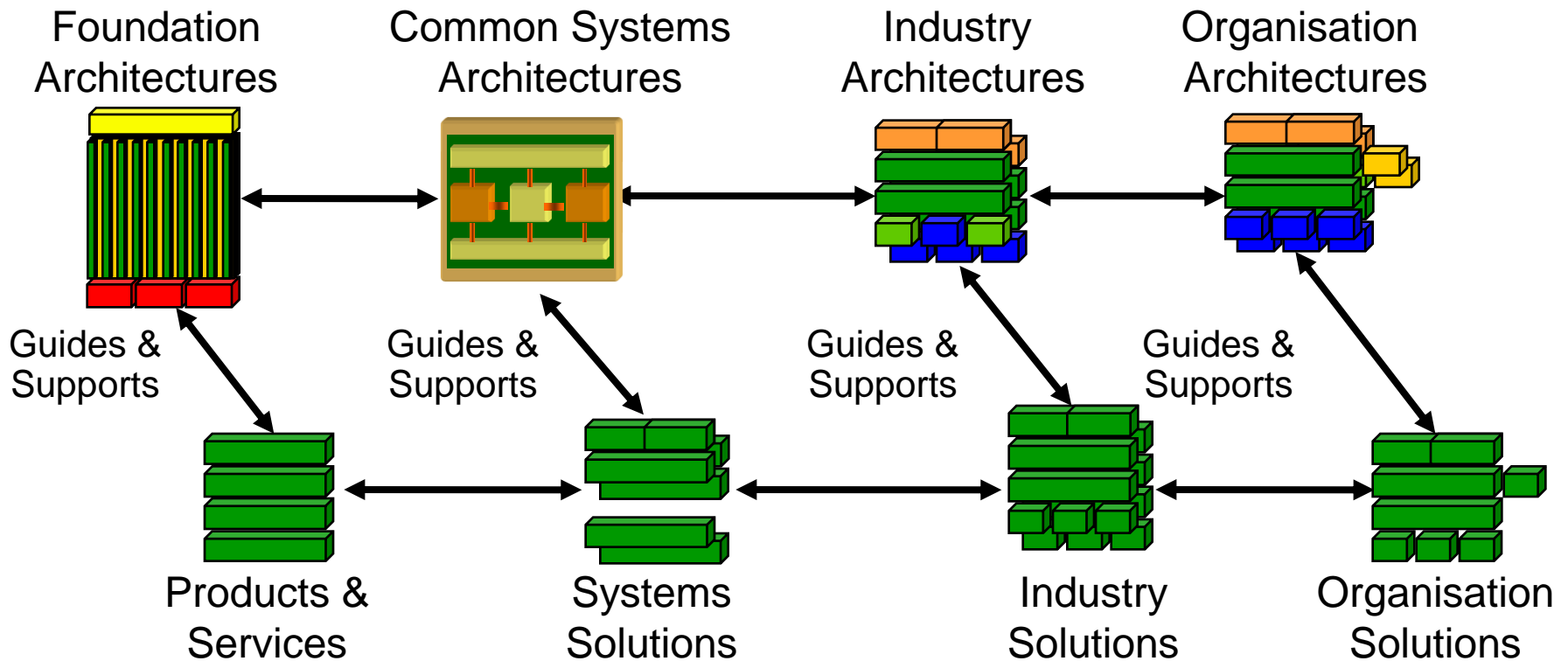


# Integrated Information Infrastructure Reference Model – Detailed Model



# The “Enterprise Continuum”

## Architecture Continuum



## Solutions Continuum

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# TOGAF 8 “Enterprise Edition” – Resource Base

# Resource Base

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- ❑ **Architecture Board:** Guidelines for establishing and operating an Enterprise Architecture Board
- ❑ **Architecture Compliance:** Guidelines and checklists for ensuring project compliance to architecture
- ❑ **Architecture Contracts:** Guidelines for architecture contracts
- ❑ **Architecture Governance:** Arrangements for effective control of IT Architecture by enterprise management
- ❑ **Architecture Patterns:** Guidelines on architecture patterns
- ❑ **Architecture Principles:** Guidelines on developing Architecture Principles; and a generic set of Architecture Principles
- ❑ **Architecture Views:** Guidelines for developing viewpoints and views in architecture models
- ❑ **Building Blocks Example:** Example illustrating use of building blocks in architecture

# Resource Base (continued)

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- ❑ **Business Process Domain Views:** A set of function views aligned with the business process structure of the enterprise
- ❑ **Business Scenarios:** A method for deriving business requirements for architecture and the implied technical requirements
- ❑ **Case Studies:** Real-life examples of TOGAF in use
- ❑ **Glossary:** Definitions of key terms
- ❑ **Other Architectures / Frameworks:** and relationship to TOGAF
- ❑ **Tools for Architecture Development:** Generic evaluation criteria for architecture tools
- ❑ **Zachman Framework mapping:** Mapping the TOGAF ADM to the Zachman Framework

# TOGAF Certification

	For Individuals	Knowledge based
	For organizations providing training courses	Course syllabus meets requirements. Instructor certified
	For organizations providing professional services	Abide by code of practice. Services provided by certified Architects
	For organizations providing tools	The tool supports the TOGAF ADM

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# Some Figures about TOGAF

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- ❑ Developed by 200+ organisations worldwide involved in its development
  - Large IT users
  - IT vendors
  - System Integrators
  - Academics
- ❑ Used in major IT projects worldwide
  - IBM, EDS, HP, Sun, Infosys, .....
- ❑ Community of knowledgeable TOGAF practitioners
  - Over 7000 certified
- ❑ Supported by Architecture Tools



# CIO Magazine - Table of Contents - March 1, 2005

## | Enterprise Architecture | **A New Blueprint For The Enterprise**

By Christopher Koch

Enterprise architecture is not just about mapping and standardizing hardware and software anymore. Now it's about services, events and-get this-good old ROI.

---

## **Wanted: Enterprise Architects**

By Ben Worthen

IT has grown so big that the CIO can't handle it all. To ensure that IT is always aligned with the business, you need an enterprise architect.

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## How to Get Started

BY CHRISTOPHER KOCH

### Feature Article

This sidebar is part of the feature article "A New Blueprint For The Enterprise"

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Some standard templates can jump-start your thinking about enterprise architecture. But don't expect them to provide all the answers. "Frameworks are the filing cabinet you put the architecture documents into," says Bruce Robertson, vice president of enterprise planning and architectures for research company Meta.

- ◆ One of the most popular—and free—frameworks is the Open Group Architecture Framework (Togaf).

[www.opengroup.org/architecture/](http://www.opengroup.org/architecture/)

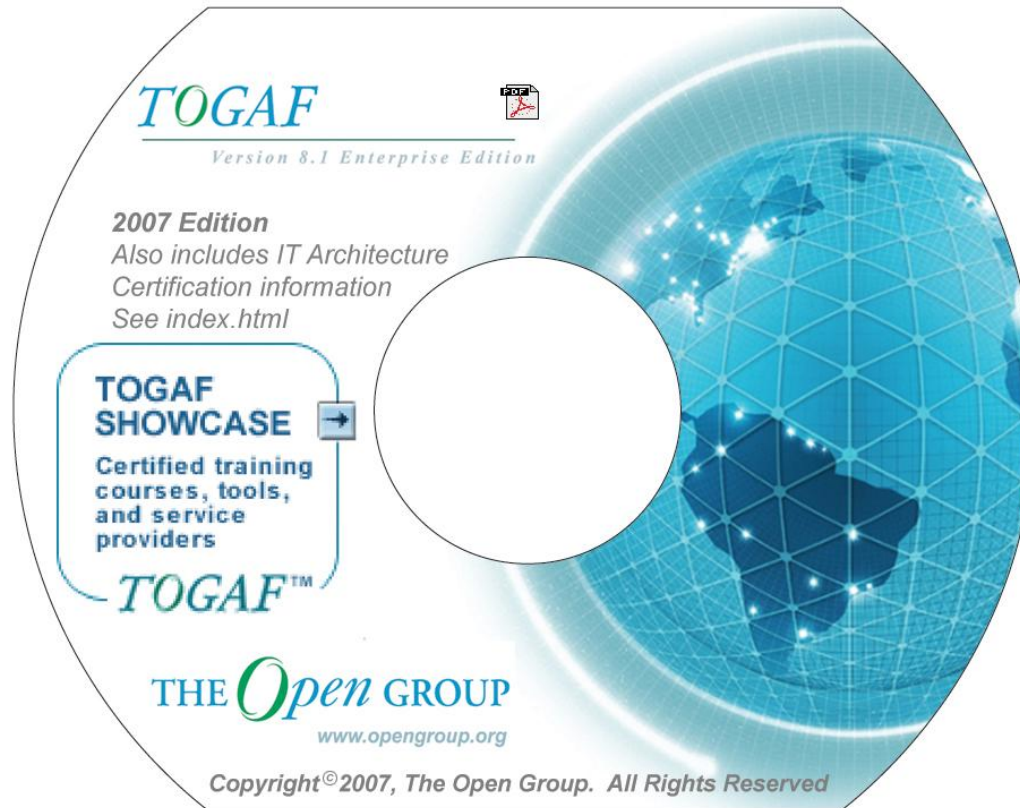
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  - >90,000 downloads
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- ❑ > 200 corporate members of The Open Group Architecture Forum
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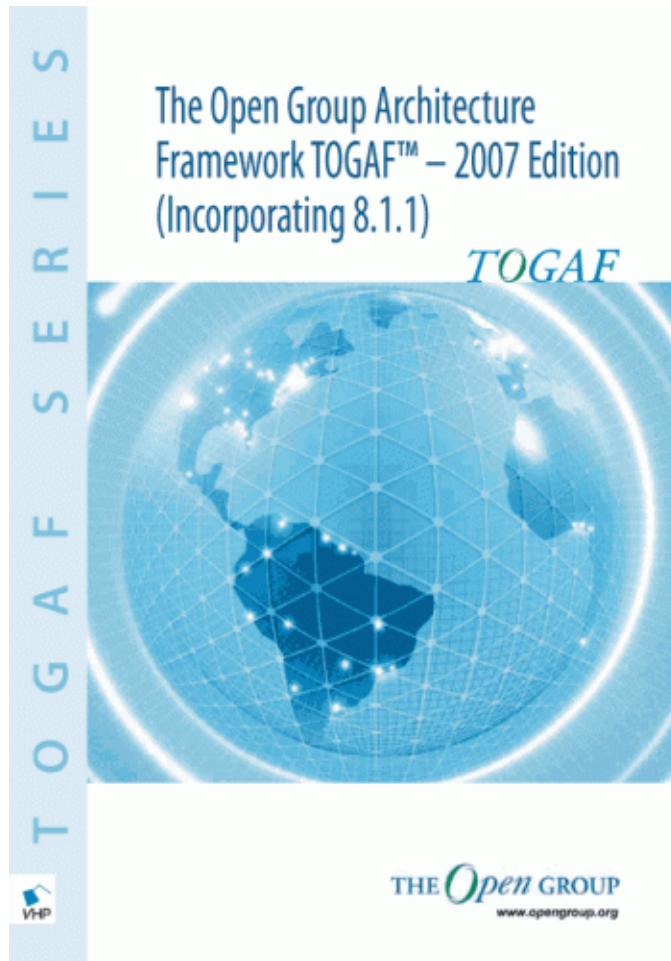
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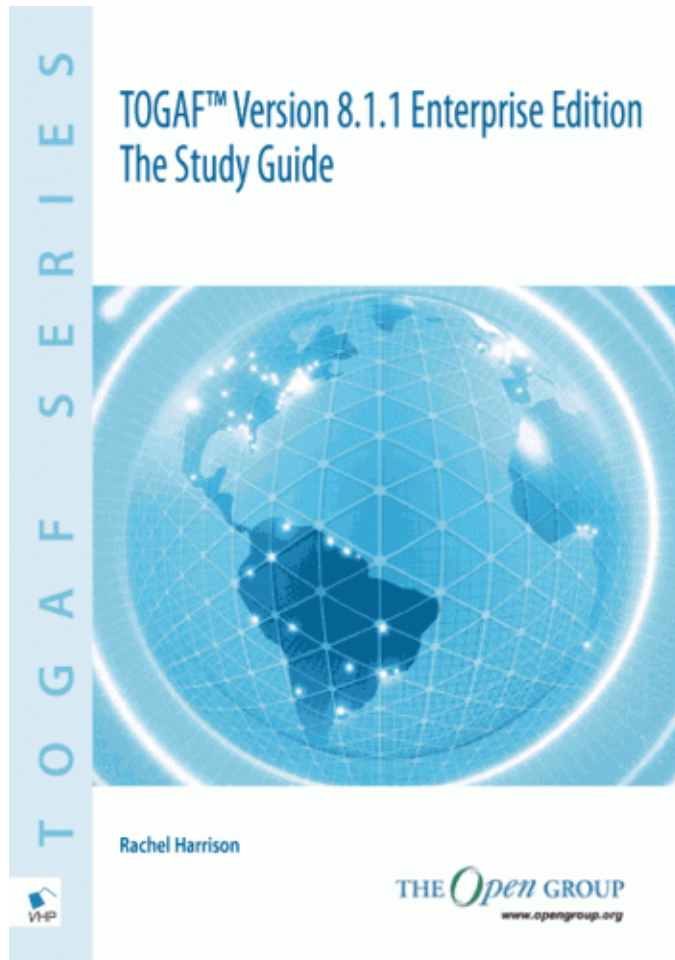
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- ❑ TOGAF 2007 Edition – (Incorporating 8.1.1), “The Book”
  - Available from Van Haren Publishing
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  - [www.vanharen.net](http://www.vanharen.net)

# TOGAF™ Version Enterprise Edition 8.1.1 Study Guide

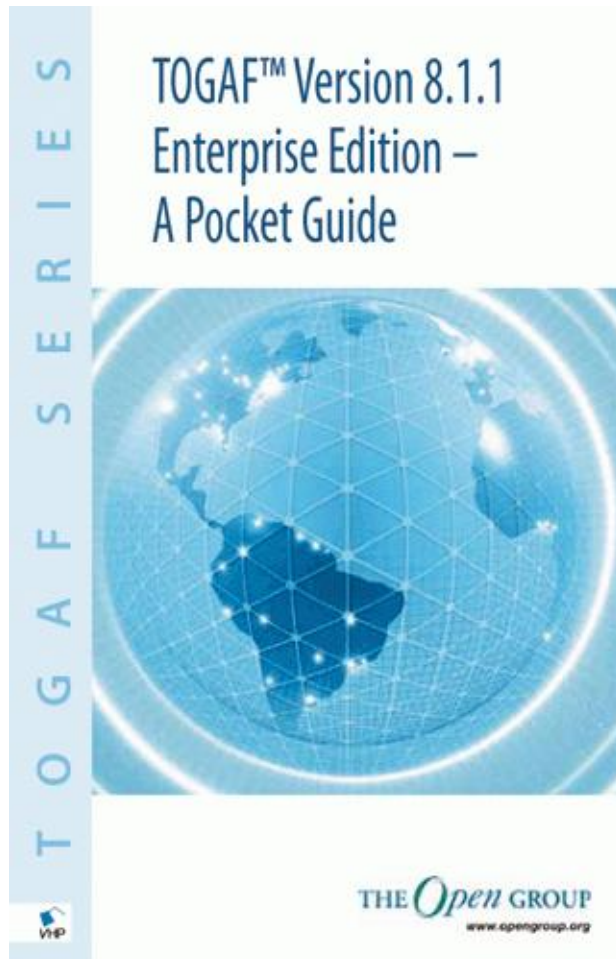
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# TOGAF 8 Summary

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- ❑ An effective, industry standard framework and method for enterprise architecture.
- ❑ Complementary to, not competing with, other enterprise frameworks
- ❑ A repository of best practice
  - “Demystifies” architecture development
- ❑ Vendor, tool, and technology neutral
- ❑ A framework and method for achieving the “Boundaryless Information Flow” vision

# TOGAF 9

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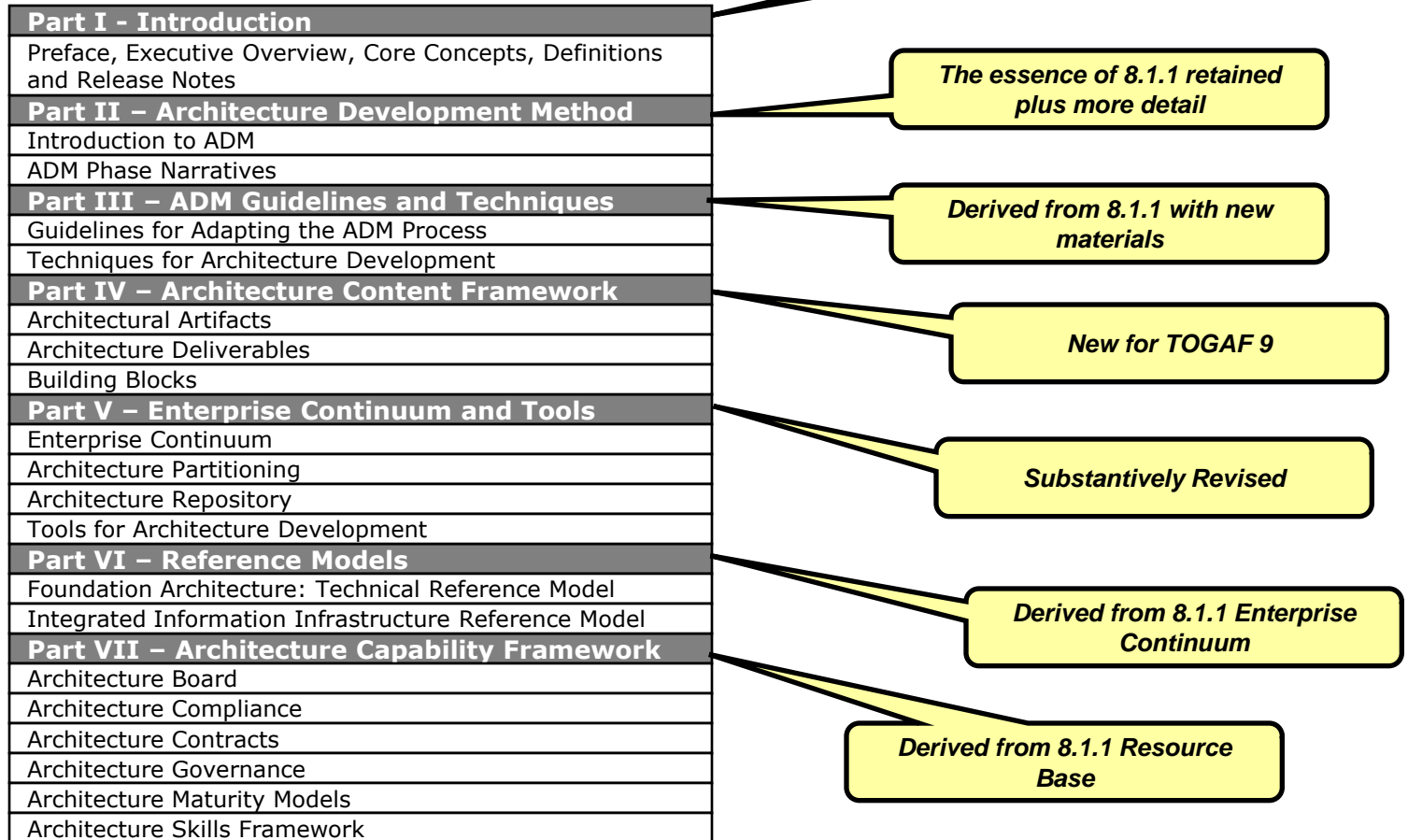
- Preserves the existing investment in TOGAF 8.1.1
  - The core method
  - Existing investment in People
    - Knowledge and skills
  - Existing investment in Tools
- Adding further detail and clarification to what has already been proven

# What's new in TOGAF 9

---

- ❑ Modular Structure
- ❑ Content Framework
- ❑ Extended Guidance on using TOGAF
- ❑ Explicit Consideration of Architectural Styles
- ❑ Additional ADM detail

# TOGAF 9 ToC



# Conclusions

---

- Adopt and use TOGAF
  - An effective, industry standard framework and method for enterprise architecture.
  - Vendor, tool, and technology neutral
  - Complementary to, not competing with, other frameworks
  
- Join and participate in the Architecture Forum
  - Worldwide forum for Architecture practitioners
  - Network with peers and industry experts
  - Contribute to / leverage work in progress
  - Help further development of Enterprise Architecture as a discipline and a profession



# For More Information . . .

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- The TOGAF Web Site
  - <http://www.opengroup.org/togaf/>
  
- The Architecture Forum
  - <http://www.opengroup.org/architecture/>
  
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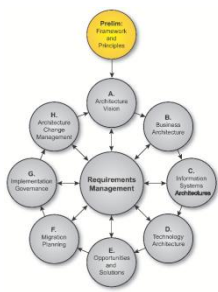


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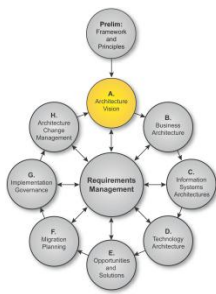
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# TOGAF 8 “Enterprise Edition” – Architecture Development Method Objectives, Steps, Inputs, Outputs,



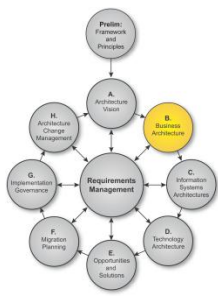
# Preliminary Phase: Framework & Principles

Objectives	Steps	Inputs	Outputs
<p>To confirm the commitment of the stakeholders</p> <p>To define the constraining principles</p> <p>To identify an organization's "architecture footprint"; that is, the people responsible for performing the architecture work, where they are located, and their responsibilities</p> <p>To define the scope and assumptions; this is particularly important for large organizations where there may be a federated architecture environment</p> <p>To define the framework and detailed methodologies that are going to be used to develop the enterprise architecture in the organization; this is typically an adaptation of the ADM</p> <p>To set up and monitor the framework's fitness-for-purpose; normally this includes an initial pilot project to check the viability of the approach within the organization</p> <p>To define the evaluation criteria for tools, repositories, and management processes to: capture, publish, and maintain architecture artifacts</p>	<p>Defining "How we do Architecture": Principles and Frameworks</p> <p>Establishing IT Architecture Governance</p>	<p>Request for Architecture Work</p> <p>TOGAF Architecture Development Method (ADM)</p> <p>Other architecture framework(s)</p> <p>Business strategy (including goals and drivers)</p> <p>IT governance strategy</p> <p>Architecture principles, including business principles</p> <p>Other federated architectures principles</p>	<p>Architecture principles</p> <p>Framework definition</p> <p>Restatement of business principles, goals, and drivers</p>



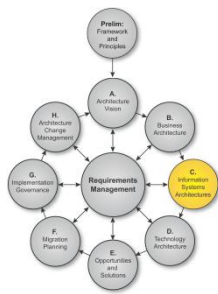
# Phase A: Architecture Vision

Objectives	Steps	Inputs	Outputs
<p>Obtain management commitment for this particular cycle of the ADM</p> <p>Validate business principles, goals, and drivers</p> <p>Define, scope, and prioritize architecture tasks</p> <p>Identify stakeholders, their concerns, and objectives</p> <p>Define business requirements and constraints</p> <p>Describe appropriate solutions</p> <p>Obtain formal approval to proceed</p> <p>Understand the influence on, and from, parallel architecture developments</p>	<p>Project Establishment</p> <p>Identify Business Goals and Business Drivers</p> <p>Review Architecture Principles, including Business Principles</p> <p>Define the scope</p> <p>Define Constraints</p> <p>Identify Stakeholders and Concerns, Business requirements, and Architecture Vision</p> <p>Document the Statement of Architecture Work and Gain Approval</p>	<p>Request for Architecture Work</p> <p>Business strategy, business goals, and business drivers</p> <p>Architecture principles, including business principles</p> <p>The Enterprise Continuum; that is, existing architecture documentation (framework description, architecture descriptions, existing baseline descriptions, etc.)</p>	<p>Approved Statement of Architecture Work including:</p> <ul style="list-style-type: none"> <li>• Scope and constraint</li> <li>• Plan for the architecture work</li> </ul> <p>Refined statements of business goals and strategic drivers</p> <p>Architecture principles, including business principles</p> <p>Architecture Vision (produced by the business scenario) including:</p> <ul style="list-style-type: none"> <li>• Baseline Business Architecture, Version 0.1</li> <li>• Baseline Technology Architecture, Version 0.1</li> <li>• Baseline Data Architecture, Version 0.1</li> <li>• Baseline Applications Architecture, Version 0.1</li> <li>• Target Business Architecture, Version 0.1</li> <li>• Target Technology Architecture, Version 0.1</li> <li>• Target Data Architecture, Version 0.1</li> <li>• Target Applications Architecture, Version 0.1</li> </ul>



# Phase B: Business Architecture

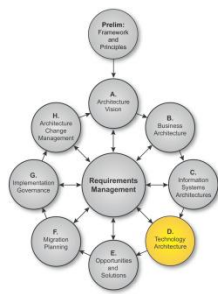
Objectives	Steps	Inputs	Outputs
<p>Select architecture viewpoints to demonstrate how stakeholder concerns are addressed in the Business Architecture</p> <p>Select tools and techniques for implementation viewpoints</p> <p>Describe the existing Business Architecture (the current baseline)</p> <p>Develop a Target Business Architecture</p> <p>Analyze the gaps between the Baseline and Target Architectures</p>	<p>Develop Baseline Business Architecture Description</p> <p>Identify Reference Models, Viewpoints, and Tools</p> <p>Create Business Architecture Model(s)</p> <p>Select Business Architecture Building Blocks</p> <p>Conduct a Formal Checkpoint Review of the Architecture Model and Building Blocks with Stakeholders</p> <p>Review Non-Functional (Qualitative) Criteria</p> <p>Complete the Business Architecture</p> <p>Perform Gap Analysis and Create Report</p>	<p>Request for Architecture Work</p> <p>Approved Statement of Architecture Work</p> <p>Refined statements of business goals and strategic drivers</p> <p>Architecture principles, including business principles</p> <p>The Enterprise Continuum</p> <p>Architecture Vision, including:</p> <p>Baseline Business Architecture, Version 0.1</p> <p>Baseline Technology Architecture, Version 0.1</p> <p>Baseline Data Architecture, Version 0.1</p> <p>Baseline Applications Architecture, Version 0.1</p> <p>Target Business Architecture, Version 0.1</p> <p>Target Technology Architecture, Version 0.1</p> <p>Target Data Architecture, Version 0.1</p> <p>Target Applications Architecture, Version 0.1</p>	<p>Statement of Architecture Work, updated if necessary</p> <p>Validated business principles, business goals, and strategic drivers</p> <p>Target Business Architecture, Version 1.0 (detailed)</p> <p>Baseline Business Architecture, Version 1.0 (detailed)</p> <p>Views corresponding to the selected viewpoints addressing key stakeholder concerns</p> <p>Gap analysis results</p> <p>Technical requirements identifying, categorizing, and prioritizing the implications for work in the remaining architecture domains (for example, by a dependency/priority matrix )</p> <p>Business Architecture Report</p> <p>Updated business requirements</p>



# Phase C: Information Systems Architectures

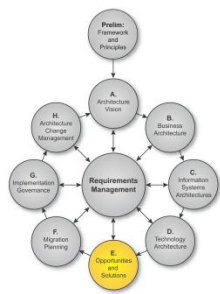
Objectives	Steps	Inputs	Outputs
<p>To develop Target Architectures for the Data and/or the Applications domains</p> <p><i>For Data Architecture:</i> Define the types and sources of data needed to support the business, in a way that can be understood by the stakeholders</p> <p><i>For Applications Architecture:</i> Define the <i>kinds</i> of application systems necessary to process the data and support the business</p>	<p>Develop Baseline Architecture Description</p> <p>Review and Validate Principles, select Reference Models, Viewpoints, and Tools</p> <p>Create Architecture Model(s)</p> <p>Select Architecture Building Blocks (<i>Data Architecture only</i>)</p> <p>Identify Candidate Applications (<i>Applications Architecture only</i>)</p> <p>Conduct a Formal Checkpoint Review of the Architecture Model and Building Blocks with Stakeholders</p> <p>Review Non-Functional (Qualitative) Criteria</p> <p>Complete the Architecture</p> <p>Conduct Checkpoint/Impact Analysis (<i>Data Architecture Only</i>)</p> <p>Perform Gap Analysis and Create Report</p>	<p>Application principles</p> <p>Data principles</p> <p>Request for Architecture Work</p> <p>Statement of Architecture Work</p> <p>Architecture Vision</p> <p>Enterprise Continuum</p> <p>Baseline Business Architecture, Version 1.0</p> <p>Target Business Architecture, Version 1.0</p> <p>Baseline Data Architecture, Version 0.1</p> <p>Target Data Architecture, Version 0.1</p> <p>Baseline Applications Architecture, Version 0.1</p> <p>Target Applications Architecture Version 0.1</p> <p>Relevant technical requirements</p> <p>Gap analysis results</p> <p>Re-usable building blocks (from organization's Architecture Continuum)</p>	<p>Statement of Architecture Work</p> <p>Baseline Data Architecture, Version 1.0</p> <p>Target Data Architecture, Version 1.0</p> <p>Baseline Applications Architecture, Version 1.0</p> <p>Target Applications Architecture, Version 1.0</p> <p>Data Architecture views corresponding to the selected viewpoints</p> <p>Applications Architecture views corresponding to the selected viewpoints</p> <p>Data Architecture Report</p> <p>Applications Architecture Report</p> <p>Gap analysis results</p> <p>Impact Analysis</p> <p>Updated business requirements</p>





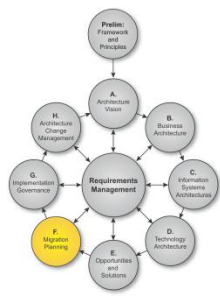
# Phase D: Technology Architecture

Objectives	Steps	Inputs	Outputs
<p>To develop a Target Technology Architecture that will form the basis of the following implementation work.</p>	<p>Develop Baseline Technology Architecture Description</p> <p><i>Create Target Technology Architecture:</i></p> <p>Create a Baseline Technology Architecture Description in <i>services terminology</i></p> <p>Consider different Architecture Reference Models, Viewpoints, and Tools</p> <p>Create an Architecture Model of Building Blocks</p> <p>Select the Services Portfolio per Building Block</p> <p>Confirm that the Business Goals and Objectives are Met</p> <p>Choose the Criteria for Specification Selection</p> <p>Complete the Architecture Definition</p> <p>Conduct Gap Analysis</p>	<p>Technology principles, if existing</p> <p>Request for Architecture Work</p> <p>Statement of Architecture Work</p> <p>Architecture Vision</p> <p>Baseline Technology Architecture, Version 0.1</p> <p>Target Technology Architecture, Version 0.1</p> <p>Relevant technical requirements</p> <p>Gap analysis results (from Data Architecture and Applications Architecture)</p> <p>Baseline Business Architecture, Version 1.0</p> <p>Baseline Data Architecture, Version 1.0</p> <p>Baseline Applications Architecture, Version 1.0</p> <p>Target Business Architecture, Version 1.0</p> <p>Re-usable building blocks</p> <p>Target Data Architecture, Version 1.0</p> <p>Target Applications Architecture, Version 1.0</p>	<p>Statement of Architecture Work, updated if necessary</p> <p>Baseline Technology Architecture, Version 1.0</p> <p>Validated technology principles or new technology principles (if generated here)</p> <p>Technology Architecture Report, summarizing what was done and the key findings</p> <p>Target Technology Architecture, Version 1.0</p> <p>Technology Architecture, gap analysis report</p> <p>Viewpoints addressing key stakeholder concerns</p> <p>Views corresponding to the selected viewpoints</p>



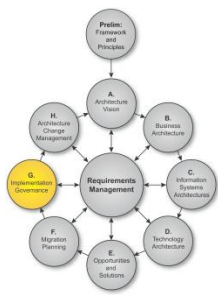
# Phase E: Opportunities & Solutions

Objectives	Steps	Inputs	Outputs
<p>Evaluate and select implementation options (for example, build <i>versus</i> buy <i>versus</i> re-use)</p> <p>Identify the strategic parameters for change and the projects to be undertaken</p> <p>Assess the costs and benefits of the projects</p> <p>Generate an overall implementation and migration strategy and a detailed Implementation Plan</p>	<p>Identify the Key Business Drivers</p> <p>Review Gap Analysis from previous phases</p> <p>Brainstorm Technical Requirements</p> <p>Brainstorm Other Requirements</p> <p>Architecture Assessment and Gap Analysis</p> <p>Identify Work Packages or Projects</p>	<p>Request for Architecture Work</p> <p>Statement of Architecture Work</p> <p>Target Business Architecture, Version 1.0</p> <p>Target Data Architecture, Version 1.0</p> <p>Target Applications Architecture, Version 1.0</p> <p>Target Technology Architecture, Version 1.0</p> <p>Re-usable Architecture (Solution) Building Blocks from your organization's Enterprise Continuum</p> <p>Product information</p>	<p>Implementation and migration strategy</p> <p>High-level Implementation Plan</p> <p>Impact Analysis document – the project list section is documented in this phase</p>



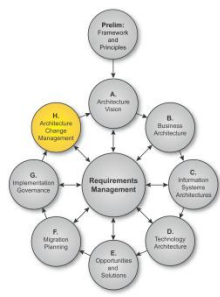
# Phase F: Migration Planning

Objectives	Steps	Inputs	Outputs
<p>Sort the various implementation projects into priority.</p> <p>Produce a prioritized list of projects that will form the basis of the detailed Implementation and Migration Plans.</p>	<p>Prioritize Projects</p> <p>Estimate the resource requirements and available resources for each project</p> <p>Perform a cost/benefit analysis for each project to identify the projects that will make the most impact in proportion to their costs</p> <p>Perform a risk assessment for each project to identify any high risk projects</p> <p>Generate a proposed implementation roadmap</p> <p>Prepare a migration plan showing how existing systems will migrate to the new architecture</p>	<p>Request for Architecture Work</p> <p>Statement of Architecture Work</p> <p>Target Business Architecture, Version 1.0</p> <p>Target Technology Architecture, Version 1.0</p> <p>Target Data Architecture, Version 1.0</p> <p>Target Applications Architecture, Version 1.0</p> <p>Impact Analysis – project list</p>	<p>Impact Analysis – detailed Implementation Plan and Migration Plan (including Architecture Implementation Contract)</p>



# Phase G: Implementation Governance

Objectives	Steps	Inputs	Outputs
<p>Formulate recommendations for each implementation project</p> <p>Construct an Architecture Contract to govern the overall implementation and deployment process</p> <p>Perform appropriate governance functions while the system is being implemented and deployed</p> <p>Ensure conformance with the defined architecture by implementation projects and other projects</p>	<p>Formulate Project Recommendations</p> <p>Document Architecture Contract</p> <p>Perform ongoing Implementation Governance</p>	<p>Request for Architecture Work</p> <p>Statement of Architecture Work</p> <p>Re-usable Solution Building Blocks (from the organization's Solutions Continuum)</p> <p>Impact Analysis – detailed Implementation Plan and Migration Plan (including Architecture Implementation Contract)</p>	<p>Impact Analysis – Implementation Recommendations</p> <p>Architecture Contract</p> <p>The architecture-compliant implemented system</p>



# Phase H: Architecture Change Management

Objectives	Steps	Inputs	Outputs
<p>Establish an architecture change management process</p> <p>Provide continual monitoring of changes in technology, business, etc.</p> <p>Determine whether to initiate a new architecture cycle or make changes to the framework and principles</p>	<p>Formulate Project Recommendations</p> <p>Document Architecture Contract</p> <p>Perform ongoing Implementation Governance</p>	<p>Requests for Architecture Change due to technology changes</p> <p>Requests for Architecture Change due to business changes</p>	<p>Architecture updates</p> <p>Changes to architecture framework and principles</p> <p>New Request for Architecture Work, to initiate another cycle of the ADM</p>



# Requirements Management

Objectives	Steps	Inputs	Outputs
<p>To provide a process to manage architecture requirements throughout the phases of the ADM cycle</p> <p>Identify requirements for the enterprise, store them and feed them in and out of the relevant ADM phases, which dispose of, address and prioritize requirements</p>	<p>Identify/document requirements</p> <p>Baseline Requirements</p> <p>Monitor baseline requirements</p> <p>Identify changed requirement; remove, add, modify and re-assess priorities</p> <p>Identify changed requirement and record priorities; identify and resolve conflicts; generate requirements impact statements</p> <p>Assess impact of changed requirement on current and previous ADM phases</p> <p>Implement requirements arising from Phase H</p> <p>Update the requirements repository</p> <p>Implement change in the current phase</p> <p>Assess and revise gap analysis for past phases</p>	<p>The inputs to the Requirements Management process are the requirements-related outputs from each ADM phase.</p> <p>The first high-level requirements are produced as part of the Architecture Vision.</p> <p>Each architecture domain then generates detailed requirements. Deliverables in later ADM phases contain mappings to new types of requirements (for example, conformance requirements).</p>	<p>Changed requirements</p> <p>Requirements Impact Statement, which identifies the phases of the ADM that need to be revisited to address any changes. The final version must include the full implications of the requirements (e.g., costs, timescales, and business metrics).</p>

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# TOGAF 8 “Enterprise Edition” – Architecture Development Method Detailed Inputs, Outputs, Steps

# Preliminary Phase: Framework & Principles

## Inputs

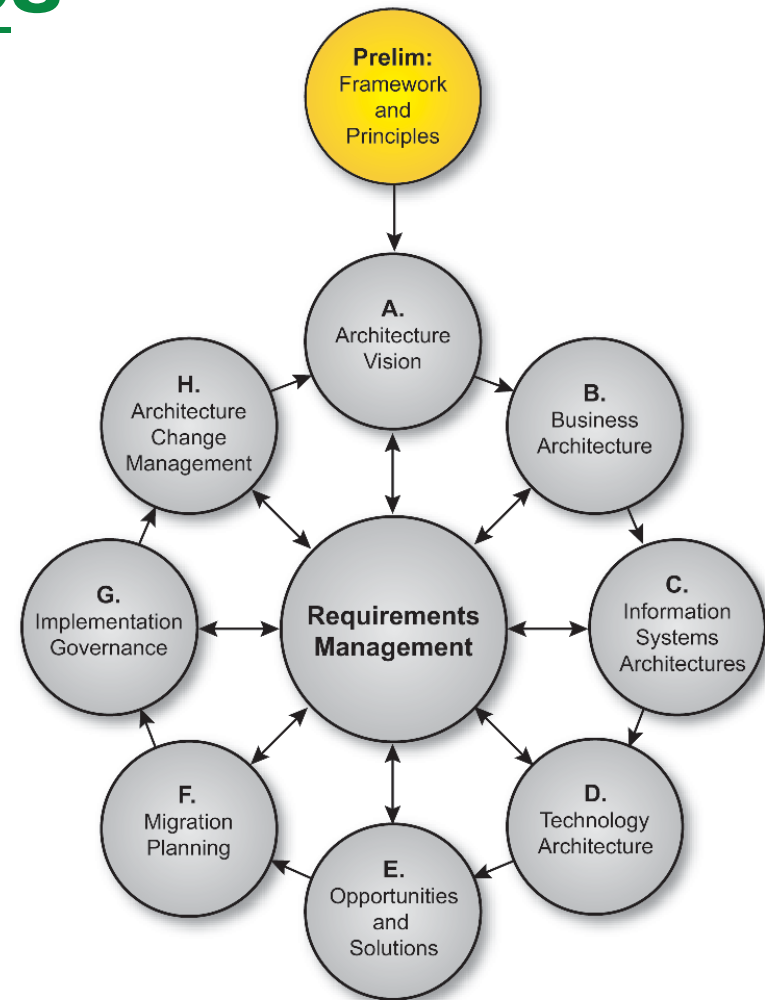
TOGAF ADM  
Other architecture framework(s), if required  
Business Strategy, Business Principles, Business Goals, Business Drivers  
IT Governance Strategy  
Architecture Principles

## Steps

TOGAF ADM a generic method -- not practical to define specific steps for adapting.  
ADM Introduction discusses issues involved and gives general guidelines.

## Outputs

Framework Definition  
Architecture Principles  
Restatement of Business Strategy, Principles, Goals, Drivers





# Phase A: Architecture Vision

## Inputs

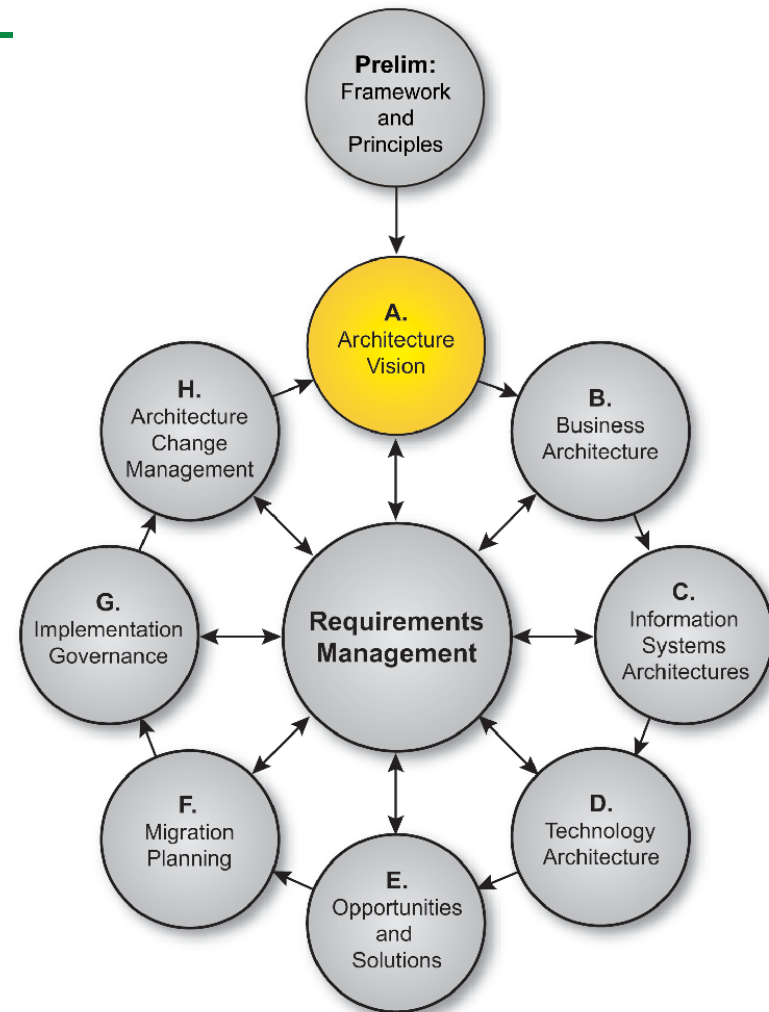
Request for Architecture Work  
Business Strategy, Principles, Goals, Drivers  
Architecture Principles  
Enterprise Continuum - existing arch. documentation

## Steps

Project Establishment  
Business Principles, Goals and Drivers  
Architecture Principles.  
Project Scope  
Constraints.  
Stakeholders and concerns, Business Requirements, and  
Architecture Vision  
Statement of Architecture Work and Approval

## Outputs

Statement of Architecture Work  
Refined statements of Principles, Goals, Drivers  
Architecture Vision  
Business Scenario



# Phase B: Business Architecture

## Inputs

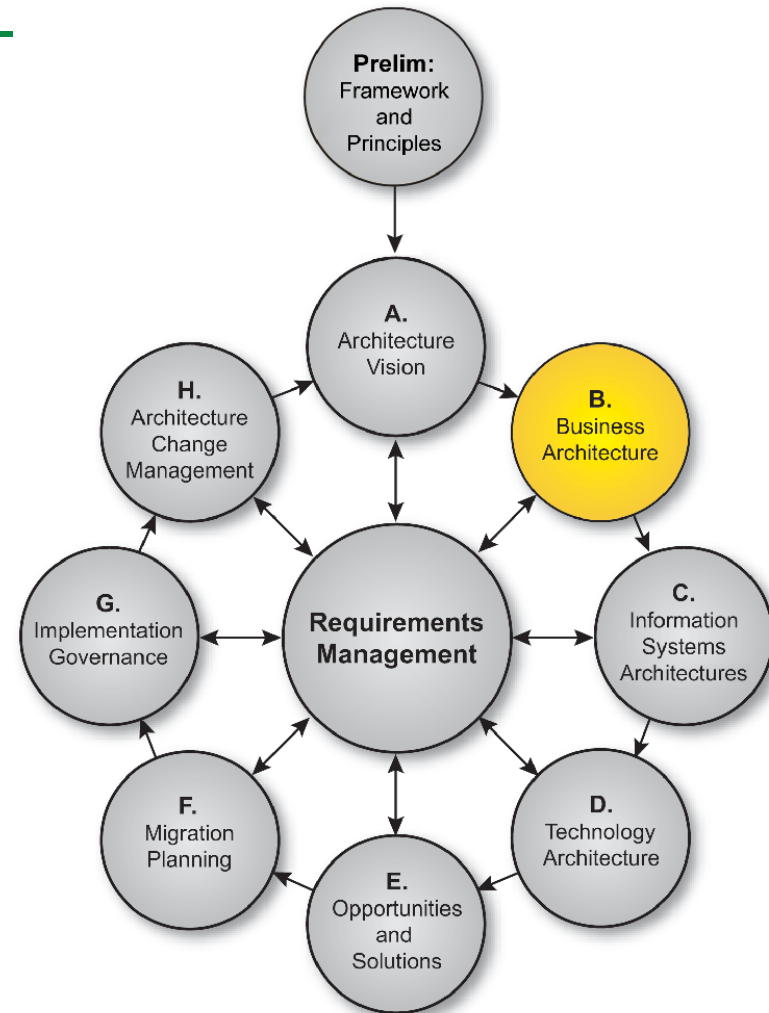
- Request for Architecture Work
- Approved Statement of Architecture Work
- Refined Business Principles, Goals, Drivers
- Enterprise Continuum
- Architecture Vision / Business Scenario

## Steps

- Describe Baseline Business Architecture
- Identify Reference Models, Viewpoints, Tools
- Create Architecture Model(s)
- Select Building Blocks (e.g., business services)
- Formal Checkpoint Review with Stakeholders
- Complete Business Architecture
- Perform Gap Analysis, Report

## Outputs

- Statement of Architecture Work (updated)
- Validated Business Principles, goals, drivers
- Target Business Architecture (detailed)
- Business Baseline (detailed)
- Views addressing key stakeholder concerns
- Gap analysis results
- Tech. requirements (drivers for Tech. Architecture)
- Business Architecture Report
- Updated business requirements



# Phase C: Information Systems Architectures

## Inputs

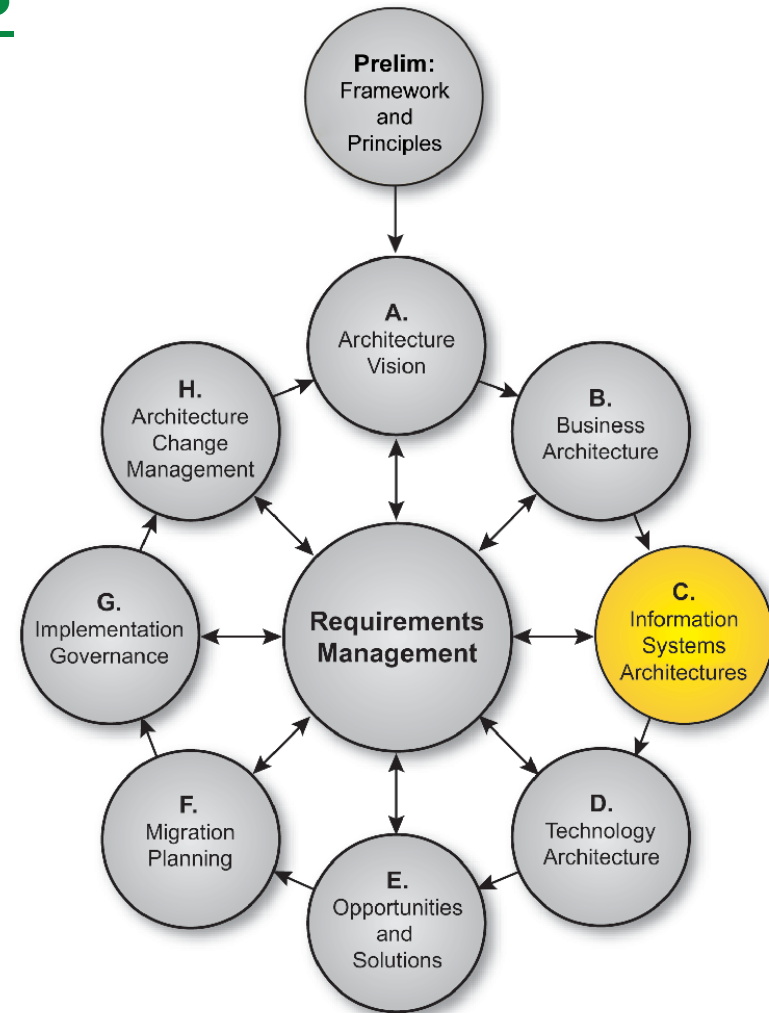
- Applications and Data Principles
- Request for Architecture Work
- Statement of Architecture Work
- Architecture Vision
- Business Baseline
- Target Business Architecture
- Relevant technical requirements
- Gap analysis (from Business Architecture)
- Re-usable building blocks

## Steps (for Data and Applications Arch.)

- Describe Baseline Architecture
- Identify Reference Models, Viewpoints, Tools
- Create Architecture Model(s)
- Select Building Blocks
- Formal Checkpoint Review with Stakeholders
- Review Qualitative Criteria
- Complete Architecture Description
- Conduct Checkpoint / Impact Analysis
- Perform Gap Analysis, Report

## Outputs

- Statement of Architecture Work (updated)
- Target Data and Applications Architectures
- Data and Applications Architecture Views
- Data and Applications Architecture Reports
- Gap analyses
- Impact Analyses
- Updated business requirements



# Phase D: Technology Architecture

## Inputs

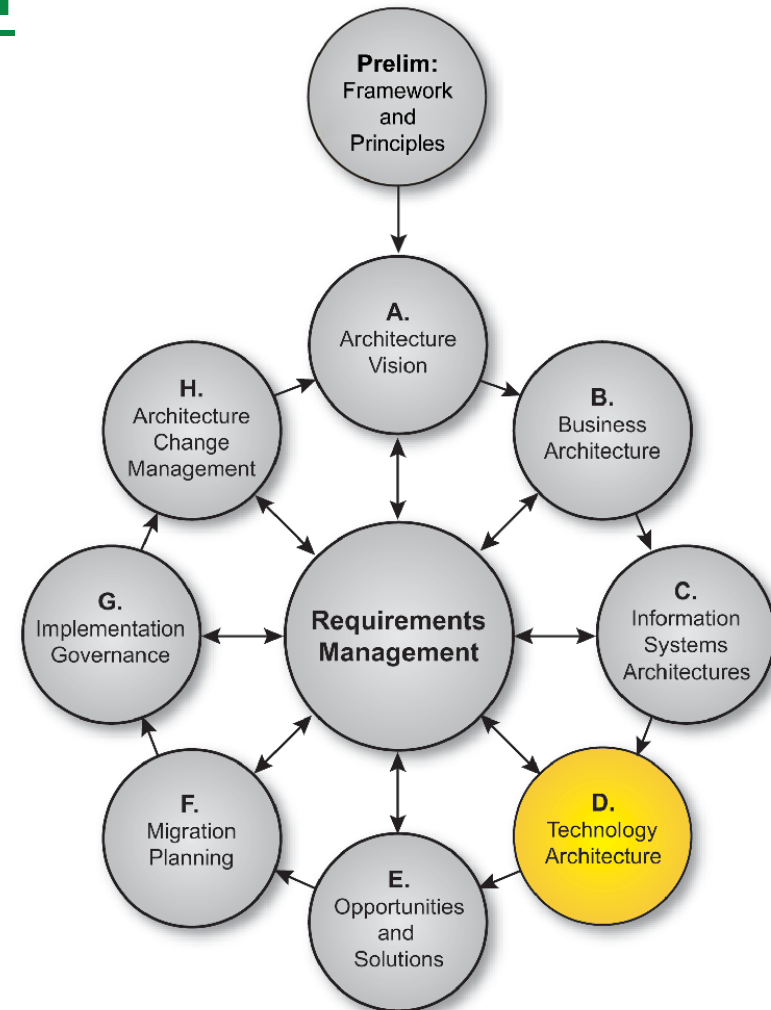
- Technical Principles
- Request for Architecture Work
- Statement of Architecture Work
- Architecture Vision
- Relevant technical requirements (previous phases)
- Gap analyses
- Business, Data and Applications Baselines
- Target Business, Data, Applications Architectures
- Re-usable building blocks

## Steps

- Describe Baseline Technology Architecture
- Identify Reference Models, Viewpoints, Tools
- Create Architecture Model(s)
- Select services portfolio per building block
- Confirm business goals and objectives being met
- Determine criteria for specification selection
- Complete Technology Architecture
- Perform Gap Analysis, Report

## Outputs

- Statement of Architecture Work (updated)
- Technology Baseline
- Technology Principles
- Technology Architecture Report
- Target Technology Architecture
- Technology Architecture - gap report
- Viewpoints / views addressing stakeholder concerns.



# Phase E: Opportunities & Solutions

## Inputs

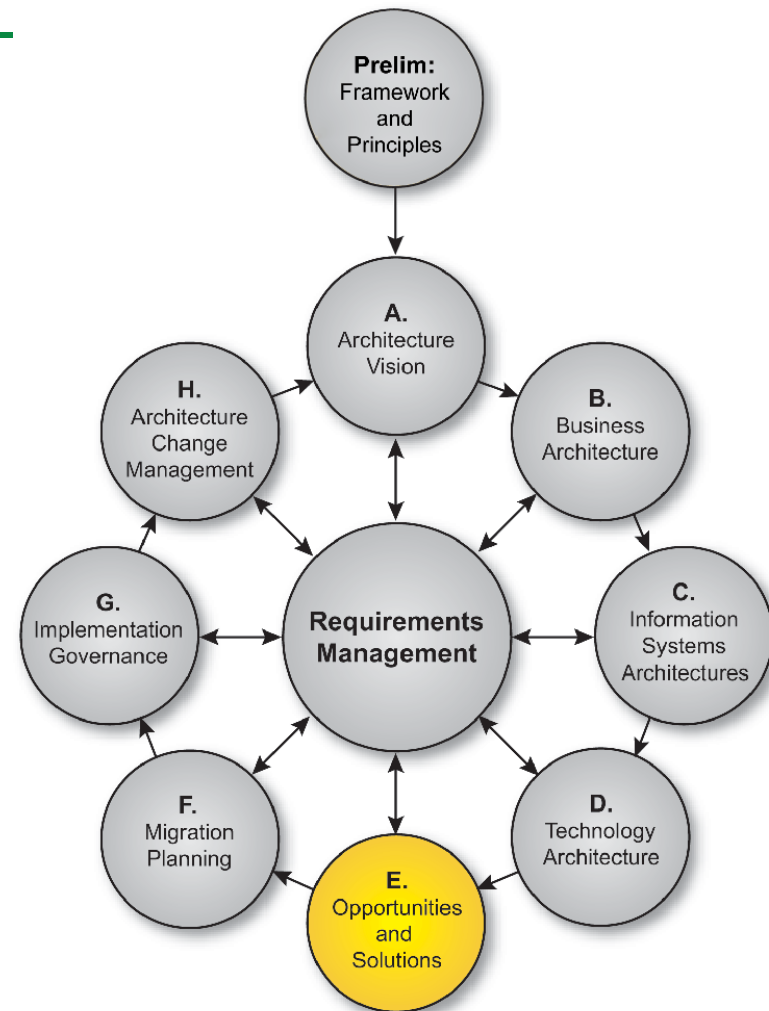
Request for Architecture Work  
Statement of Architecture Work  
Business, Data, Applications, Technology Architectures  
Re-usable architecture building blocks  
Product information

## Steps

Identify business drivers constraining implementation sequence (cost reduction; service consolidation; etc.)  
Review gap analysis generated in Phase D.  
Brainstorm technical requirements  
Brainstorm co-existence, interoperability requirements  
Architecture assessment and gap analysis  
Identify major work packages; classify as new development, purchase opportunity, reuse of existing system.

## Outputs

Impact Analysis - Project list



# Phase F: Migration Planning

## Inputs

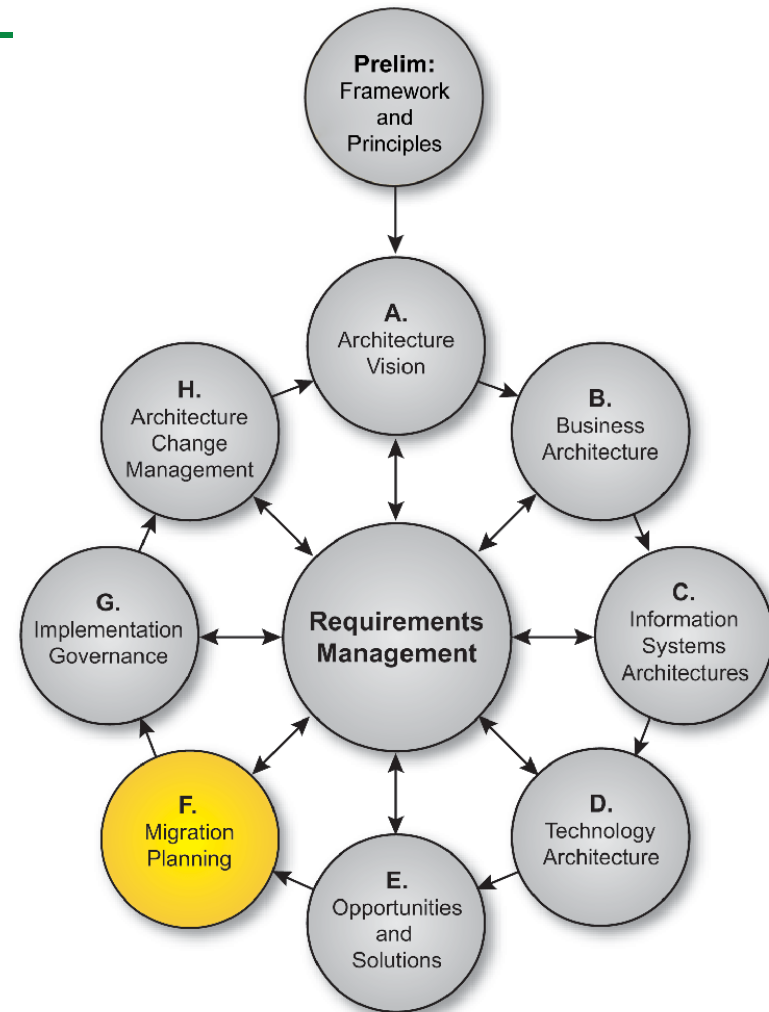
Request for Architecture Work  
Statement of Architecture Work  
Business Architecture  
Data Architecture  
Applications Architecture  
Technology Architecture  
Impact Analysis - Project list

## Steps

Prioritize projects  
Estimate resource requirements and availability  
Perform cost / benefit assessment of migration projects  
Perform risk assessment  
Generate implementation roadmap (time-lined)  
Document the Migration Plan

## Outputs

Impact Analysis - Migration Plan



# Phase G: Implementation Governance

## Inputs

- Request for Architecture Work
- Statement of Architecture Work
- Re-usable solutions building blocks
- Impact Analysis - Migration Plan

## Steps

Formulate project recommendations; for each implementation project, document:

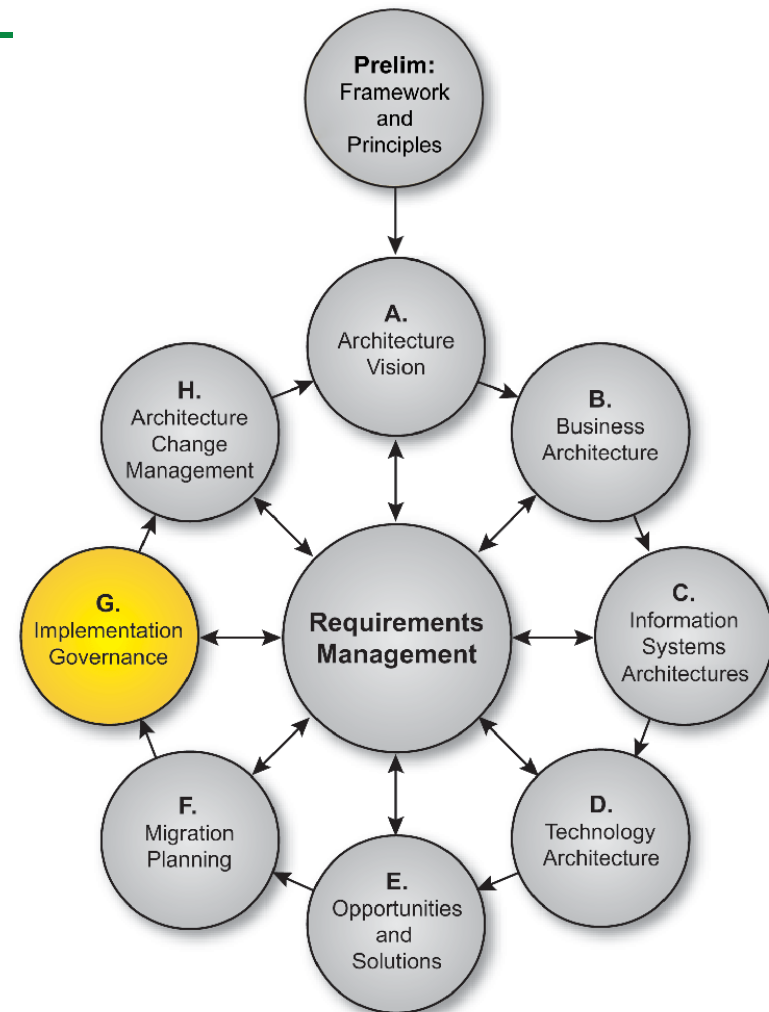
- scope
- strategic requirements (from architectural perspective)
- change requests
- rules for conformance
- time-line requirements from roadmap

Architecture Contract – document, obtain developing and sponsoring organization signatures

On-going implementation governance and architecture compliance review.

## Outputs

- Impact Analysis - Migration Plan



# Phase H: Architecture Change Management

## Inputs

- Request for Architecture Change - technology
- New technology reports
- Request for Architecture Change - business

## Steps

- Ongoing monitoring of technology changes
- Ongoing monitoring of business changes
- Assessment of changes and development of position to act
- Meeting of Architecture Board (or other governing council) to decide on handling changes

## Outputs

- Architecture updates
- Changes to Architecture Framework and Principles
- New Request for Architecture Work (to move to another cycle)

